

**JEFFERSON COUNTY, ALABAMA
2011 ONE-YEAR ACTION PLAN**

**October 1, 2011 – September 30, 2012
Amendment #3**

Submitted 4/29/14

JEFFERSON COUNTY COMMISSION



**James A. "Jimmie" Stephens, President
Sandra Little-Brown, President Pro Tempore
George F. Bowman
W.D. Carrington
T. Joe Knight**

**JEFFERSON COUNTY OFFICE OF COMMUNITY & ECONOMIC
DEVELOPMENT**

Frederick L. Hamilton, Director

**Distributed February 18, 2016 for public review and comment until 5:00 pm, March
21, 2016.**

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

B-11-UC-01-0001

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Jefferson County, Alabama

* b. Employer/Taxpayer Identification Number (EIN/TIN):

63-6001579

* c. Organizational DUNS:

1054742790000

d. Address:

* Street1:

716 Richard Arrington Jr Blvd N, Ste. A-430

Street2:

* City:

Birmingham

County/Parish:

Jefferson

* State:

AL: Alabama

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

35203-0100

e. Organizational Unit:

Department Name:

Community & Economic Dev.

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Dr.

* First Name:

Frederick

Middle Name:

L.

* Last Name:

HAMILTON

Suffix:

Ph.D

Title:

Director

Organizational Affiliation:

Jefferson County Commission

* Telephone Number:

205-325-5761

Fax Number:

205-325-5095

* Email:

hamiltonf@jccal.org

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Dept. of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

*** 12. Funding Opportunity Number:**

* Title:

Community Development Block Grant

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Eligible activities through out the Jefferson County Consortium and unincorporated Jefferson County to be funded from the Community Development Block Grant.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,850,627.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="8,605.04"/>
* g. TOTAL	<input type="text" value="1,859,232.04"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

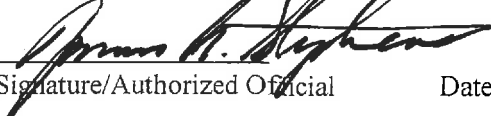
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____, _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

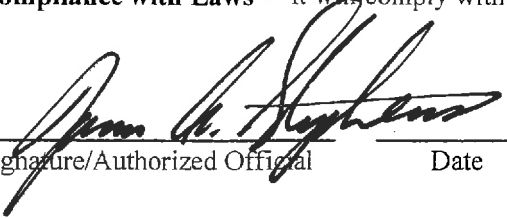
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official Date

President, Jefferson County Commission
Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature/Authorized Official Date

President, Jefferson County Commission
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature/Authorized Official Date

President, Jefferson County Commission
Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

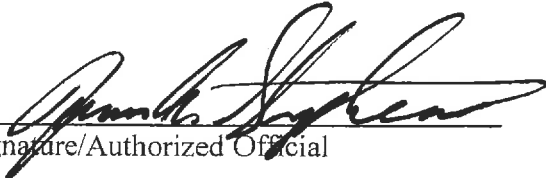
Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official

Date

President, Jefferson County Commission
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**RESOLUTION
AMENDING COMMUNITY DEVELOPMENT PROGRAMS**

WHEREAS, the Housing and Community Development Act of 1974, as amended, provides that an Urban County may make application to the U.S. Department of Housing and Urban Development for Community Development Block Grants; and

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) has accepted Jefferson County and municipal consortium members located therein, as a fully qualified Urban County for Community Development Block Grants, Emergency Shelter Grants, Emergency Solutions Grants, and the HOME Program; and

WHEREAS, Jefferson County desires to amend its Community Development Statement of Objectives and Projected Use of Funds for the Program Years 2011 through 2015 of its Five-Year Consolidated Plans and One-Year Action Plans to allow for those changes described in the attached pages, those pages being a part of this resolution; and

WHEREAS, Jefferson County has held a public hearing in accordance with HUD regulations and its Citizen Participation Plan and has actively solicited the participation of municipal authorities and citizens throughout the County, and that said amendment has been prepared in a manner that meets or exceeds all known federal, state, and local requirements and regulations;

NOW, THEREFORE, BE IT RESOLVED by the County Commission of Jefferson County, Alabama, that:

1. The Jefferson County Community Development Programs One-Year Action Plans for the years 2011 through 2015, is hereby amended as said above; and
2. The President of the County Commission is authorized and hereby directed to execute, sign all applications forms and certifications and submit to the U.S. Department of Housing and Urban Development (HUD) the attached Amended Statements of Community Development Objectives and Projected Use of Funds and the Amended Consolidated Action Plan for Community Development programs.

Adopted:

**APPROVED BY THE
JEFFERSON COUNTY COMMISSION**
DATE: 4-7-16
MINUTE BOOK: 169
PAGE(S): 450-451



THE BIRMINGHAM TIMES

115 3rd Avenue West • Birmingham, AL 35204
(205) 251-5158

AFFIDAVIT OF PUBLICATION

Samuel P. Martin, President/Publisher

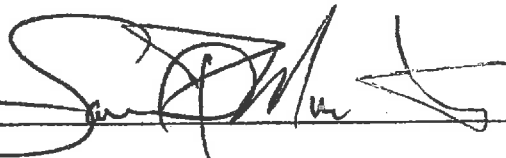
Birmingham Times Media Group

Agents for the State of Alabama, County of Jefferson

On this 18th day of February, 2016

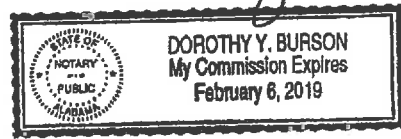
Samuel P. Martin appeared before me, Dorothy Y. Burson, Notary Public, in and for the County and State, who being duly sworn according to law, declares that he is the President/Publisher of *Birmingham Times Media Group* Publication, in the City of Birmingham, County of Jefferson, State of Alabama, that the advertisement, a true copy of which, is herewith attached, appeared in *The Birmingham Times* on the following dates:

1. 2/18/16
- 2.
- 3.
- 4.

Signed: 

Subscribed and sworn to me, Dorothy Y. Burson, on this 18th day of February, 2016.

My commission expires:




Notary Public

PUBLIC NOTICE

Jefferson County, Alabama
Office of Community & Economic
Development
Amended Final Statement of
Community Development Objectives
and Projected Use of Funds

Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Consolidated Plan Annual Action Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that may include the Community Development Block Grant Program (CDBG), The Emergency Shelter Grant Program (ESG), Community Development Block Grant - Disaster Recovery (CDBG-DR), The Emergency Solutions Grant (ESG) and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for the 2010 Five-Year Consolidated Plan and the 2015 Five-Year Consolidated Plan and these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement will be developed after receiving citizen comments and views at a public hearing to be held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama.

Special accommodations are available, upon request, for those with disabilities and for those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, should call 325-5761 in advance for assistance.

AVAILABILITY OF THE PROPOSED AMENDMENTS

Copies of amendments and summaries may be reviewed at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North - Suite A430, Birmingham, AL 35203, by calling 325-5761, or online at <http://jeffcoonline.jccal.org/comdev/main/neri-housing.html>. Arrangements can also be made to meet the Special Needs Requirements of those with disabilities. Those in this category should contact the Office of Community Development at the above address and telephone number. Copies may also be viewed at the following Public Libraries:

Adamsville Public Library
Fairfield Public Library
1984 Forestdale Blvd.
4615 Gary Avenue
Adamsville, AL 35214
Fairfield, AL 35064

Fultondale Public Library
Homewood Public Library
1015 Walker Chapel Road
1721 Oxmoor Road
Fultondale, AL 35071
Homewood, AL 35209

Irondale Public Library
Leeds Public Library
105 20th Street, South
802 Parkway Dr., SE
Irondale, AL 35210
Leeds, AL 35094

Midfield Public Library
Trussville Public Library
400 Breland Drive
201 Parkway
Midfield, AL 35228
Trussville, AL 35173

Tarrant Public Library
Warrior Public Library
1143 Ford Avenue
10 First Street
Tarrant, AL 35217
Warrior, AL 35160

Hueytown Public Library
1372 Hueytown Road
Hueytown, AL 35023

Comments must be submitted prior to 5:00 p.m. on March 21, 2016. Written comments must be submitted to the following:

Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Amendment Comments

a) The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families.

The purpose of these Amendments is to delete several activities and to reprogram unexpended funds to other activities in these program years.

James A. "Jimmie" Stephens,
President
Jefferson County Commission
BT 02/18/2016

Birmingham News

LEGAL AFFIDAVIT

INV#: 0007570405

Remit Payment to:

Alabama Media Group

Dept 77571

P.O. Box 77000

Detroit, MI 48277-0571

JEFFERSON COUNTY COMMUNITY ECONOMIC
DEVELOPMENT
716 RICHARD ARRINGTON JR BLVD STE A430
BIRMINGHAM, AL 35203

COMMUNITY DEVELOPMENT

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invoicessupport@acsmi.com

Date	Position	Description	P.O. Number	Ad Size	Total Cost
02/19/2016	Legals AL	PUBLIC NOTICE Jefferson County, Alabama Office of Community & Economic Development PUBLIC NOTICE	2000077116	1 x 123 L	338.25

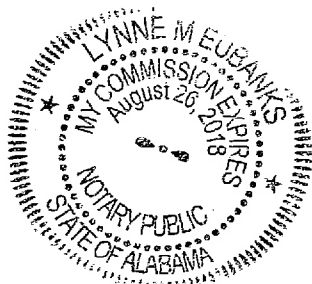
Stephanie Hardin being sworn, says that she is bookkeeper of Birmingham News which publishes a newspaper in the City of Birmingham and County of Jefferson, State of Alabama; and attached notice appeared in the issue of

Birmingham News 02/19/2016

Stephanie Hardin
Sworn to and subscribed before me this 19th day of February 2016

Lynne M. Eubanks
NOTARY PUBLIC

FOR QUESTIONS CONCERNING THIS AFFIDAVIT,
PLEASE CALL STEPHANIE HARDIN AT (251) 219-5405.
YOU CAN PLACE A LEGAL NOTICE BY EMAIL OR FAX:
LEGALS@AL.COM OR FAX# (205) 320-3550



Jefferson County, Alabama
Office of Community &
Economic Development
Amended Final Statement of Community
Development Objectives and
Projected Use of Funds
Program: Years 2011 through 2015

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Trussville, AL 35173

Warrior Public Library
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Warrior, AL 35180

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Birmingham, AL 35203
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James A. "Jimmie" Stephens, President
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Bham News: February 19, 2016

JEFFERSON COUNTY
OFFICE OF COMMUNITY DEVELOPMENT

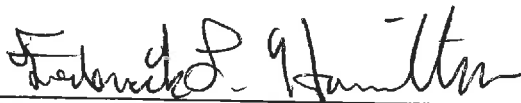
AMENDED ONE-YEAR ACTION PLAN FOR Program Years 2011-2015

CERTIFICATION

The Jefferson County Office of Community Development advertised the Final Amended One-Year Action Plan for Program Years 2011-2015 in the Birmingham News on February 19, 2016 and the Birmingham Times on February 20, 2016 advertisements are enclosed.

The Jefferson County Office of Community Development scheduled a public hearing at the office (716 Richard Arrington Jr. Blvd North – Suite A-420) on March 8, 2016 at 2:00 p.m. We did not have any citizens to attend the public hearing. Also, copies of the executive summaries were available in our office upon request and at 11 different public libraries located throughout Jefferson County.

The Jefferson County Office of Community & Economic Development did not receive any comments regarding the amendment.



Frederick L. Hamilton, Director

March 11, 2016
DATE

Ec. Dev. Business Activity	(12,000.00)	(12,000.00)	(12,000.00)
Contingency	(200,000.00)		
Fairfield Library		35,000.00	35,000.00

Executive Summary
Jefferson County, Alabama
Office of Community & Economic Development
Amended Final Statement of Community Development Objectives and Projected Use of
Funds

Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Annual Action Plans of the Five-Year Consolidated Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that include the Community Development Block Grant Program (CDBG), The Emergency Shelter/Emergency Solutions Grant Program (ESG), and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement was developed after receiving citizen comments and views at a public hearing held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-430, Birmingham, Alabama.

Special accommodations were made available, upon request, for those with disabilities and those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, were advised to call 325-5761 in advance for assistance.

AVAILABILITY OF THE PROPOSED AMENDMENTS

This is a summary of the amendments for Community Development Programs. Copies of this summary were also available for review at the Jefferson County Office of Community Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL 35203, or by calling 325-5761. Arrangements were made available to meet the Special Needs Requirements of those with disabilities as well as those with limited English proficiency. Those in this category were also advised to contact the Office of Community Development at the above address and telephone number. Copies were available for review at the following Public Libraries:

Adamsville Public Library
4825 Main Street
Adamsville, AL 35005

Fairfield Public Library
4615 Gary Avenue
Fairfield, AL 35064

Fultondale Public Library
500 Byrd Lane
Fultondale, AL 35068

Homewood Public Library
1721 Oxmoor Road
Homewood, AL 35209

Irondale Public Library
105 20th Street, South
Irondale, AL 35210

Leeds Public Library
8104 Parkway Drive
Leeds, AL 35094

Midfield Public Library
400 Breland Drive
Midfield, AL 35228

Trussville Public Library
201 Parkway Drive
Trussville, AL 35173

Tarrant Public Library
1143 Ford Avenue
Tarrant, AL 35217

Warrior Public Library
10 First Street East
Warrior, AL 35180

Hueytown Public Library
1372 Hueytown Road
Hueytown, AL 35023

Comments could have been submitted prior to 5:00 p.m. on March 21, 2016. Written comments should have been submitted to the following:

Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Amendment Comments

The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families. In addition, the projected use of funds is consistent with Jefferson County's goal of:

- a) Stabilizing low and moderate income neighborhoods located in consortium cities and unincorporated Jefferson County;
- b) Providing safe and affordable housing;
- c) Providing jobs for low and moderate income persons and families; and
- d) Improving the economic life in Jefferson County by encouraging business development.

The purpose of this Amendment is to delete several activities and to reprogram unexpended funds to other activities in these program years. The following are the activities to be changed:

PROGRAM YEAR 2011 (37TH)

CDBG

Reduce Funding in the Following Activity:

Fairfield Library Improvements from \$200,000.00 to \$166,619.34

Add the Following Activity:

Tarrant Water Improvements, \$33,380.66

PROGRAM YEAR 2012 (38th)

CDBG

Reduce Funding in the Following Activities:

Fairfield Willie Mays Park Improvements from \$160,000.00 to \$155,000.00

Clay Senior Center Improvements from \$160,000.00 to \$130,500.00

Rosedale Sidewalks Phase II from \$160,000.00 to \$110,000.00

Add the Following Activity:

West Highland Waterline Improvements, \$84,500.00

PROGRAM YEAR 2013 (39th)

CDBG

Add the Following Activity:

Economic Development Business Activity from RLF Income, \$82,718.56

PROGRAM YEAR 2014 (40th)

CDBG

Reduce Funding in the Following Activity:

Martintown Senior Center from \$177,000.00 to \$102,000.00

Increase Funding in the Following Activities:

Midfield Park Improvements from \$177,000.00 to \$252,000.00

Emergency Housing Grant from \$400,000.00 to \$402,650.47

HOME

Reduce Funding in the Following Activity:

CHDO Activities from \$319,634.00 to \$94,939.00

Delete the Following Activities:

CHDO Admin, \$25,000.00

Special Needs Rental Housing, \$450,000.00

Add the Following Activity:

Homebuyer Assistance Program, \$699,695.00

ESG

Reduce Funding in the Following Activities:

Rapid Re-Housing from \$27,978.00 to \$5,400.00

Add the Following Activity:

Homelessness Prevention, \$22,578.00

PROGRAM YEAR 2015 (41st)

CDBG

Delete the Following Activity:

Jett Road Water, \$168,911.00

Add the Following Activity:

Tarrant Water, \$168,911.00

ESG

Delete the Following Activity:

Street Outreach, \$5,000.00

Add the Following Activities:

Rapid Re-Housing - Tenant Based Rental Assistance, \$4,000.00

Rapid Re-Housing - Housing Relocation & Stabilization, \$6,000.00



Second Program Year Action Plan

The CPMP Second Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

Narrative Responses

GENERAL

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 2 Action Plan Executive Summary:

**EXECUTIVE SUMMARY
JEFFERSON COUNTY CONSORTIUM
AMENDED FINAL STATEMENT TO THE 2011-12 ACTION PLAN FOR
COMMUNITY DEVELOPMENT PROGRAMS
Amendment #3**

INTRODUCTION

The United States Department of Housing and Urban Development requires that in order to receive funding under the Community Development Block Grant Program (CDBG), the Home Investment Partnerships Program (HOME), the Emergency Shelter Grant Program (ESG), The Emergency Solutions Grant Program (ESG), and the Housing Opportunities for Persons with AIDS Program (HOPWA), each entitlement city or urban county must prepare a Consolidated Plan. This Plan was prepared in the year 2010. The Plan identifies housing and non-housing needs over a five year period, assigns priorities to each of the needs and a strategy for meeting those needs, and sets out a one year Action Plan establishing funding. The overall goal of the community development programs is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for low- and moderate-income persons.

The Jefferson County Consortium is made up of the unincorporated areas of Jefferson County, Alabama and 30 municipalities within the County. The Cities of Birmingham, Bessemer, County Line, West Jefferson, Argo, Sumiton, Helena and Hoover are the only cities in the County not participating in the Consortium. Birmingham, Bessemer and Hoover are entitlement cities themselves. The Final Amended Statement for CDBG, HOME, the Emergency Shelter Grant, and the Emergency Solutions Grant will

be developed after receiving citizen comments and views at a public hearing to be held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama.

Comments must be received prior to 5:00 PM on March 21, 2016. Special accommodations can be made available, upon request, for those with disabilities and those with limited English proficiency. Those needing special accommodations should contact the office at 205-325-5761 in advance.

Written comments must be submitted to the following:

Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Amendment Comments

AVAILABILITY OF THE PROPOSED AMENDED 2011 ACTION PLAN

This is a summary of the proposed amended 2011 Action Plan for Community Development programs. A complete copy of the plan will be made available for review at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North - Suite A430, Birmingham, AL 35203, or by calling 325-5761. Arrangements can also be made available to meet the Special Needs Requirements of those with disabilities and those with limited English proficiency. Copies of the proposed amended 2011 One-Year Action Plan will also be made available for review at the following Public Libraries:

Adamsville Public Library
4825 Main Street
Adamsville, AL 35005

Fairfield Public Library
4615 Gary Avenue
Fairfield, AL 35064

Fultondale Public Library
500 Byrd Lane
Fultondale, AL 35068

Homewood Public Library
1721 Oxmoor Road
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105 20th Street, South
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400 Breland Drive
Midfield, AL 35228

Trussville Public Library
201 Parkway Drive
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Tarrant Public Library
1143 Ford Avenue
Tarrant, AL 35217

Warrior Public Library
10 First Street East
Warrior, AL 35180

Hueytown Public Library
1372 Hueytown Road
Hueytown, AL 35023

PROPOSED AMENDED 2011 ONE-YEAR ACTION PLAN SUMMARY

The Jefferson County Consortium Proposed Amended One Year Action Plan for the 2011-12 Program Year outlines the proposed projects to be undertaken by the County with funds from the Community Development Block Grant Program (CDBG), the HOME Investment Partnerships Program (HOME), the Emergency Shelter Grant Program (ESG), and the Emergency Solutions Grant (ESG). Outlined below are the projects listed by housing and non-housing categories and how the projects address the County's overall strategy.

Jefferson County expects to receive \$1,850,627.00 in CDBG funds; and \$17,620.08 in program income, and \$15,000.00 in RLF income for a total of \$1,883,247.08 minus \$24,015.04 in Prior Year Program Income; \$906,768.00 in HOME funds, and \$75,000.00 in HOME program income; \$89,937.00 in federal Emergency Shelter Grant funds; and \$50,590.00 in federal Emergency Solutions Grant funds making a total of \$2,981,527.04 in funds available for Program Year 2011-12.

Housing programs will receive \$836,075.04 of the total CDBG funds available. These Housing programs will include Housing Emergency Grant program and Housing Accessibility Grant program. Fair Housing Counseling will be funded at \$25,000.00 as a public service.

Non-housing programs to be funded under the CDBG program include economic development programs, public services, and public facilities as well as planning and administration costs. The expenditures on these programs are as follows:

Public Facilities	\$ 376,619.34
Public Services	25,000.00
Economic Development	15,000.00
Program Administration	373,157.00

Homeless Assistance Programs: Jefferson County anticipates receiving \$89,937 Federal Emergency Shelter Grant funds. Requirements on Emergency Shelter Grant funding including unlimited operations, unlimited renovations, essential services (limited to 30% of total grant), prevention (limited to 30% of total grant, and must be spent within 6 months), and staff salaries (limited to 10% of total grant). Jefferson County Emergency Shelter Program Administration funds are \$4,133.

Additional Homeless Assistance Programs:

HOME Program: Jefferson County anticipates receiving approximately \$906,768 in HOME funds for the Program Year beginning October 1, 2011; and \$75,000 in program income, for a total of \$981,768.00. These funds are proposed for use in the Special Needs Rental program. Pursuant to the authority provided in 24 CFR 5.110, HUD has waived the HOME matching contribution regulatory requirement found in 24 CFR 92.222(b), thereby, reducing the match liability for Jefferson County by 100 percent with respect to any HOME funds expended during Fiscal Year (FY) 2011 and FY 2012 (from October 1, 2010 through September 30, 2012).

HOUSING ACTIVITIES TO BE UNDERTAKEN

The following housing programs will be undertaken with funds from the Community Development Block Grant Program and the HOME Program:

	HOME	MATCH
Administration	\$148,181.00	-0-
Special Needs	\$833,587.00	-0-
 CDBG		
Housing Program Delivery		\$160,000.00
Housing Emergency Grant Program		\$451,075.04
Rehabilitation of Rental Housing		\$225,000.00

HOMELESS ASSISTANCE ACTIVITIES TO BE UNDERTAKEN

The following grants will be given to agencies that provide services to the homeless, those threatened with homelessness, and those providing services and housing for those living with HIV; funding is from the \$89,937.00 in Federal Emergency Shelter Grant funds from the Department of Housing and Urban Development:

Emergency Shelter Grant

The Emergency Shelter Grant was established by the Homeless Housing Act of 1986. In 1987, the Emergency Shelter Grant was incorporated into subtitle B of title IV of the Stewart B. McKinney-Vento Homeless Assistance Act (42 U.S.C. 11371-11378). Jefferson County receives ESG funds from the U.S. Department of Housing and Urban Development (HUD). ESG allocations are based upon a Community Development Block Grant formula and can be used for 1) rehabilitation or conversion of buildings into homeless shelters; 2) operating expenses; 3) essential services; 4) homeless prevention; and 5) administration. The following activities are to be undertaken:

<u>Agency</u>	<u>Operations</u>	<u>Essential Services</u>	<u>Homeless Prevention</u>
Bridge Ministries			\$5,160.00
AIDS Alabama	\$17,160.00		
YWCA Interfaith		\$12,000.00	
Cooperative Downtown Ministries	17,160.00		
First Light	14,000.00	\$ 3,164.00	
<u>Pathways</u>	<u>17,160.00</u>		
TOTAL	\$65,480.00	\$15,164.00	\$5,160.00

Jefferson County ESG Administration \$ 4,133.00

Emergency Solutions Grant

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act), enacted into law on May 20, 2009, consolidates three of the separate homeless assistance programs administered by HUD under the McKinney-Vento Homeless Assistance Act into a single grant program, and revises the Emergency Shelter Grants program and renames it as the Emergency Solutions Grant (ESG) program. The HEARTH Act also codifies into law the Continuum of Care planning process, a longstanding part of HUD's application process to assist homeless persons by providing greater coordination in responding to their needs. The Emergency Solutions Grant focuses on assisting people to quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. The Emergency Solutions Grant serves HUD's definition of those who are homeless and those who are at risk of homelessness.

PROPOSED ACTIVITIES

HOMELESS

The ESG components serving those who are homeless are 1) street outreach, 2) emergency shelter and 3) rapid re-housing with data collected in the Homeless Management Information System (HMIS). From the FY 2011 second allocation of ESG funds, Jefferson County does not propose to fund any activities under street outreach or emergency shelter.

1. **Street Outreach** – Serves unsheltered homeless persons

Essential Services include street outreach services for:

- ✓ Engagement;
- ✓ Case Management;
- ✓ Emergency Health and Mental Health Services;
- ✓ Transportation; and
- ✓ Services for Special Populations: Homeless Youth, Homeless Persons with HIV/AIDS, and Homeless Victims of Domestic Violence, Sexual Violence, and Stalking

2. **Emergency Shelter** – Serves people staying in emergency shelters

Essential Services include:

- ✓ Case Management;
- ✓ Child Care, Education, Employment, and Life Skills Services;
- ✓ Legal Services;
- ✓ Health, Mental Health, and Substance Abuse Services;
- ✓ Transportation; and
- ✓ Services for Special Populations

Shelter Activities include:

- ✓ Renovation (including major rehab or conversion)
- ✓ Operations (e.g., maintenance, utilities, furniture, food)

Street Outreach and Emergency Shelter Activities combined cannot exceed \$84,316.20 of Jefferson County's total PY 2011 ESG allocation of \$140,527.00

3. Rapid Re-Housing – Serves people who are literally homeless and can be used to help a homeless individual or family move into permanent housing and achieve housing stability. **From the 2011 Emergency Solutions Grant, Jefferson County proposes to allocate \$23,255.04 in Rapid Re-Housing; \$10,255.04 in Housing Relocation & Stabilization Services and \$13,000.00 in Tenant-Based Rental Assistance.**

Eligible Activities:

- ✓ Housing Relocation and Stabilization Services; and
- ✓ Short-Term Rental Assistance – up to 3 months
- ✓ Medium-Term Rental Assistance – 4 to 24 months*

*Up to 24 months of rental assistance during any 3-year period, including one-time payment for up to 6 months of rent arrears on the tenant's portion of the rent.

Short/Medium-Term Rental Assistance Standards:

- FMR limits
- Rent Reasonableness
- Minimum Habitability Standards
- Rental Assistance Agreement and Lease
- No rental assistance to a household receiving rental assistance from another public source for same time period (except a one-time payment of up to 6 months of arrears).

HOMELESS PREVENTION

The ESG component serving those who are at risk of homelessness is homeless prevention activities with data collected in the Homeless Management Information System (HMIS). Homeless Prevention activities are limited to Individuals and families with annual incomes below 30% AMI and are at risk of becoming homeless. **From the 2011 Emergency Solutions Grant, Jefferson County proposes to allocate \$15,928.43 in Homeless Prevention activities: \$10,678.43 in Tenant Based Rental Assistance and \$5,250.00 in Housing Relocation & Stabilization Services.**

Eligible Activities:

- ✓ Housing Relocation and Stabilization Services; and
- ✓ Short-Term Rental Assistance – up to 3 months
- ✓ Medium-Term Rental Assistance – 4 to 24 months

Short/Medium-Term Rental Assistance Standards:

- FMR limits

- Rent Reasonableness
- Minimum Habitability Standards
- Rental Assistance Agreement and Lease
- No rental assistance to a household receiving rental assistance from another public source for same time period (except a one-time payment of up to 6 months of arrears).

**HOMELESS PREVENTION & RAPID RE-HOUSING: HOUSING
RELOCATION & STABILIZATION SERVICES**

The following are allowable activities for both Homelessness Prevention and Rapid Re-Housing: Financial Assistance & Housing Relocation & Stabilization Services. (See Table 3 for proposed allocations of each category below under Rapid Re-Housing and Homeless Prevention).

Financial Assistance:*

Moving Costs
Rent Application Fees
Security Deposit
Last month's rent
Utility deposit
Utility payments

Services:

Housing search/placement
Housing stability case management
Mediation and legal services
Credit repair/budgeting/money management

*No financial assistance to a household for a purpose and time period supported by another public source.

HMIS – Jefferson County proposes to allocate \$5,000 of the Emergency Solutions Grant in HMIS activities. Jefferson County and its sub-recipients will participate in the local Continuum of Care and utilize HMIS.

Eligible Costs for recipients that are HMIS Lead Agencies:

- ✓ Hosting and maintaining software or data
- ✓ Backing up, recovering, or repairing software or data
- ✓ Upgrading, customizing, and enhancing the HMIS
- ✓ Integrating and warehousing data
- ✓ Administering the HMIS
- ✓ Reporting
- ✓ Conducting training and using the HMIS

Eligible Costs for Jefferson County and Sub-recipients:

- ✓ Computer hardware, software, and software licenses
- ✓ Office space, utilities, and equipment
- ✓ Obtaining technical support
- ✓ Salaries for HMIS operation
- ✓ Staff travel (training and program participant intake)
- ✓ Participation fees charged by the HMIS Lead

ADMINISTRATION – Jefferson County’s total budget of Emergency Shelter Grant funds and Emergency Solutions Grant funds is \$140,527.00 of which up to 7.5% may be used for administrative costs. Therefore, Jefferson County plans to allocate \$4,133 in Emergency Shelter Grant funds to Administrative activities and \$6,406.53 of the Emergency Solutions Grant to Administrative activities.

Eligible Administrative Costs:

- ✓ Providing management, oversight, and coordination
- ✓ Monitoring programs and evaluating performance
- ✓ Training on ESG requirements
- ✓ Preparing ESG & homelessness-related sections of the Consolidated Plan
- ✓ Carrying out environmental review responsibilities

CENTRALIZED/COORDINATED ASSESSMENT

Jefferson County in utilizing phone lines, GMIS mapping, and HMIS data input and referrals originally established for HPRP, proposes to use a centralized/coordinated intake and implement the Emergency Solution Grant in coordination with the local Continuum of Care. Prior to beginning the program Jefferson County plans to meet with the Continuum in establishing a pre-screening intake form that can be used by each ESG grantee participating in the Continuum. The purpose of coordination is to promote consistent standards; avoid duplicative/unnecessary assistance; and promote strategic targeting of homeless assistance resources. This centralized intake process will allow initially assessments of the eligibility and needs of each individual or family seeking assistance.

OTHER EMERGENCY SOLUTIONS GRANT REQUIREMENTS

Consultation/Coordination with Continuum of Care – In accordance with 24 CFR 91.100(d), 91.110(e) Jefferson County plans to meet with the Continuum on March 20, 2012 to discuss the allocation of funds and to establish performance measurements that will be consistent with the Consolidated Plan., connecting participants with mainstream resources, a system for regularly re-evaluating participant eligibility as well as the utilization of the HMIS.

Summary of the Consultation Process – In accordance with 24 CFR 91.220(1)(4)(vi) for local governments Jefferson County plans to include in its final submittal of this Substantial Amendment to HUD a summary of the consultations with the local Continuum of Care regarding the Emergency Solutions Grant.

Citizen Participation – In accordance with 24 CFR 91.105(c), (k), 91.115(c), (i) – Jefferson County plans to hold a 30 day comment period for receipt of comments regarding the Substantial Amendment to the FY 2011 Consolidated Plan Annual Action Plan. The comment period will begin on Sunday, March 4, 2012 and conclude by 5:00 PM on April 2, 2012.

Summary of the Citizen Participation Process – In accordance with 24 CFR 91.105(c)(3) for local governments Jefferson County plans to include in its final submittal of this Substantial Amendment to HUD a summary of the citizen participation process used in preparing the substantial amendment. It will also summarize the public comments or views received, along with a summary of the comments or views not accepted, including the reasons for not accepting those comments or views.

Match – In accordance with 24 CFR 576.201 Jefferson County plans to provide ESG match for the second allocation with an equal amount of other federal, state, and local resources (cash and non-cash) from sub-recipients. The match will be \$50,590.00. The proposed uses for this match will be HMIS, Rapid Re-Housing, Homeless Prevention, and Housing Relocation and Stabilization Services.

Written standards for Provision of ESG Assistance – In accordance with 24 CFR 91.220(1)(4)(i) and 576.400(e)(1) and (e)(3) Jefferson County has included initial written standards for providing the proposed ESG assistance. Standards may be refined in Annual Action Plans as the community adapts and further develops strategies for targeting resources, and as new best practices are established.

- a. **Policies and procedures for evaluating individuals' and families' eligibility for assistance under the Emergency Solutions Grant (ESG)** – Jefferson County's written standards for evaluating individuals' and families' eligibility for assistance under the Emergency Solutions Grant (ESG) are consistent with the definitions of homeless and at risk of homelessness in 24 CFR 576.2 and the recordkeeping requirements in 24 CFR 576.500(b), (c), (d), and (e).
- b. **Policies and procedures for coordination among emergency shelter providers, essential service providers, homeless prevention and rapid re-housing assistance providers, other homeless assistance providers, and mainstream service and housing providers** - Jefferson County's written standards include policies and procedures for coordinating and integrating the proposed program components with other homeless assistance programs and mainstream housing and service programs, in order to promote a strategic, community-wide system to prevent and end homelessness.
- c. **Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance** – Jefferson County's written policies include targeting policies for determining which individuals and families who qualify as at risk of homelessness can receive ESG assistance and which of those individuals and families should be prioritized for that assistance.

These policies for homeless prevention and rapid re-housing are designed to provide assistance to as many homeless people as possible in which the ability to sustain housing shall not be used as a threshold requirement for assistance. The goal is to focus on helping individuals and families overcome their immediate housing obstacles and connecting them with the resources they need to stay housed when the program ends.

Risk factors are also included in the policies and procedures that will be used to help determine individuals and families who are most in need of ESG homeless prevention assistance to avoid moving into an emergency shelter or another place described in paragraph (1) of the 'homeless' definition in 24 CFR 576.2. Homeless prevention assistance will be target to families and individuals who are closest to going to a shelter, car, or the street, of not those who are about to spend their first night there. Data from the local Continuum of Care will be used to identify the combinations of characteristics that are typical of families and individuals living in shelters or on the streets. This data will be used as a guide in targeting and prioritizing prevention assistance to those families and individuals who are most in need.

- d. Standards for determining the share of rent and utilities costs that each program participant must pay, if any, while receiving homelessness prevention or rapid re-housing assistance** – Jefferson County's written standards include guidelines for determining a program participant's contribution to rent and utilities, if any, while they are receiving homelessness prevention or rapid re-housing assistance. Jefferson County's guidelines are flexible and allows the case manager to take into consideration a wide range of options, including providing a fixed amount of assistance per person and/or requiring the program participant to pay a certain portion of his or her income over the course of the assistance. ESG assistance given based upon a percentage of the program participant's income includes standards that specify what percentage will be used and how income will be calculated.
- e. Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time** – The guidelines for rental assistance include the following regulatory provisions: 1) program participants receiving project-based rental assistance must have a lease that is for a period of 1-year, regardless of the length of rental assistance; 2) program participants receiving rapid re-housing assistance must be re-evaluated at least once every year and program participants receiving homeless prevention assistance are required to be re-evaluated at least once every 3 months; and 3) no program participant may receive more than 24 months of assistance in a 3-year period.

- f. **Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program participant receives assistance; or the maximum number of times the program participant may receive assistance** – Jefferson County’s written standards includes guidelines for determining the housing stabilization and/or relocation services that will be provided to a participant, including the types of services, amount of services, and the length of time a participant can receive services. Standards for rental assistance will remain flexible and consider a wide range of options to consider clients’ various needs.

Sub-awards – Jefferson County plans to make its allocation available to private nonprofit organizations through a competitive application process based on qualifications and ability to comply with ESG regulations.

Homeless Participation Requirement - In accordance with 576.405(a) of the Interim Rule, Jefferson County is requiring each current ESG sub-recipient to assign a willing, current or formerly homeless client to serve on the Homeless Sub-Committee of the Jefferson County Technical Advisory Committee. The purpose of the Homeless Sub-Committee is to consult with County staff in making recommendations for policies and decisions to the Director of Community & Economic Development regarding any ESG-funded facilities, services, or other assistance.

Performance Standards – After consulting with the Continuum of Care on March 20, 2012, Jefferson County developed the performance standards for evaluating ESG activities. These performance standards should be designed to go beyond projecting the number of persons or households who will exit or avoid homelessness under the grant. The purpose of the performance standards will be to provide a measure for the ESG recipient and the Continuum of Care to evaluate each ESG service provider’s effectiveness, such as how well the service provider succeeded at: 1) targeting those who need the assistance most; 2) reducing the number of people living on the streets or emergency shelter; 3) shortening the time people spend homeless; and 4) reducing each program participant’s housing barriers or housing stability risks. These performance standards for ESG activities should also complement or contribute to the Continuum of Care program performance measures detailed in Section 427 of the McKinney-Vento Act, as amended by the HEARTH Act. The development of the standards must take into consideration the effect the standards might have on the service providers’ ability to target and design their programs so that homelessness is effectively shortened and reduced in Jefferson County.

Certifications – In accordance with 24 CFR 91.225(c) for local governments Jefferson County will submit to HUD new ESG Certifications.

Risk Factors - After consulting with the Continuum of Care on March 20, 2012, Jefferson County will develop the set of risk factors to be used to target individuals and families with the greatest need. These characteristics must be included in the Substantial Amendment in order for Jefferson County or its sub-recipients to serve individuals or families. Individuals or families with risk factors not included in the Substantial Amendment cannot be served with ESG funds. Those individuals and families who do meet the included risk factors do not qualify with the risk factor alone, but must also meet the income, resources, or support networks criteria.

Centralized/Coordinated Assessment System – Jefferson County plans to meet with the local Continuum of Care in establishing a Coordinated Assessment System that can be utilized among ESG grantees in the Continuum. Jefferson County also plans to continue the HPRP assistance line for ESG applicants to apply for services and then forward the potential client to the proper sub-recipient via HMIS.

Monitoring – Jefferson County plans to discuss the monitoring system used for HPRP and its appropriateness to ESG with the local Continuum of Care. It is Jefferson County’s desire to continue the Certification, approval, reimbursement, and monitoring process followed with HPRP. At the first level in this process, no client was referred for initial case management without review and pre-approval from Jefferson County staff. At the second level, no client was approved for financial assistance without prior review and approval from Jefferson County Certification staff. Thirdly, no sub-recipient received reimbursement for approved services until the client’s records and sub-recipient’s records had been reviewed and approved by Jefferson County staff. Finally, the Jefferson County Office of Community & Economic Development finance division and the programmatic division both conducted monitoring visits of each sub-recipient and client’s files.

NON-HOUSING COMMUNITY DEVELOPMENT PRIORITIES AND PROPOSED ACTIVITIES TO BE UNDERTAKEN

The following non-housing activities will be undertaken with funds from the Community Development Block Grant program; all were determined to be high priority activities in the Consolidated Plan:

General Administration	\$373,157.00
Economic Development Technical Assistance	\$ 3,000.00
Housing Program Delivery	\$160,000.00

PUBLIC FACILITIES

Fairfield Library Accessibility	\$166,619.34
Trussville Senior Citizens’ Center Improvements	\$200,000.00

Vestavia Hills Senior Citizens Center Improvements \$ 10,000.00

INFRASTRUCTURE

West Highland Water \$200,000.00

Tarrant Water \$ 33,380.66

PUBLIC SERVICES

Fair Housing Counseling \$25,000.00

ECONOMIC DEVELOPMENT:

Economic Development Technical Assistance \$ 3,000.00

Economic Development Business Activity \$12,000.00

Jefferson County manages an Economic Business Loan program that provides low interest loans of up to \$350,000 to businesses for expansion. Loans are provided on a county-wide basis, with the goal of creating new jobs for low- and moderate-income residents of the County. Loans may be made from CDBG funds, Section 108 Loans or Float Loans. Section 108 or Float Loans may be up to \$1,000,000.

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Economic Development Float Loan, \$1,000,000 – Float Loan Criteria: 1. Cost per job; 2. Number of jobs; 3. Type of jobs; and 4. Relative job income expected.

REQUIREMENTS AND PARAMETERS FOR THE ECONOMIC DEVELOPMENT CDBG LOAN PROGRAM

Loan funds may be used to undertake certain Economic Development activities including:

- Acquiring, construction, rehabilitating or installing commercial or industrial buildings, structures and other real property equipment and improvement.
- Assisting a private, for-profit business with a loan.
- Providing economic development services in connection with other eligible CDBG Economic Development activities.

- Economic Development assistance may be provided directly or through public and private organizations, agencies and other sub-recipients including non-profit and for profit sub-recipients.
- All economic development activities must meet the National Objective Standard of benefiting low-to-moderate income persons. At least 51% of the employees of the business must be low-to-moderate income and have a total household income less than HUD's current low income criteria (80% of median household income).

GENERAL GUIDELINES:

- All loans must meet the Public Benefit Standards of the CDBG Program for individual and aggregate standards. One new job must be created or retained per \$35,000 loaned.
- Minimum loan amount is \$25,000.
- Maximum loan amount is \$350,000 (this amount can be waived by the CDBG Director).
- Loan proceeds can be used for hard costs (building, land, equipment, etc.) and also for soft costs (working capital).
- Loans can be on a first or second lien basis (no third lien loans).
- Loans on equipment cannot exceed a 10 year term.
- Loans on buildings and land cannot exceed a 20 year term.
- The maximum interest rate will be 75% of the bank rate or less.
- All loans are to be secured by lien positions on collateral and will be determined by credit worthiness, collateral coverage, and term of the loan.
- CDBG loan funds cannot be used to avoid bankruptcy, refinancing third party loans, or paying debt service. This will not preclude recasting existing CDBG loans to provide a workout agreement to avoid foreclosure action.
- Proof that other financing has been secured on all gap financing projects and participatory loans.
- All projects must create jobs in Jefferson County excluding the cities of Birmingham, Bessemer, Hoover, Argo, County Line, West Jefferson, Helena and Sumiton.

REQUIREMENTS AND PARAMETERS FOR THE ECONOMIC DEVELOPMENT MICRO LOAN PROGRAM

Loan funds may be used to undertake certain Economic Development activities including:

- Acquiring, construction, rehabilitating or installing commercial or industrial buildings, structures and other real property equipment and improvement.
- Assisting a private, for profit business with a loan.
- Providing economic development services in connection with other eligible CDBG Economic Development activities.
- Economic Development assistance may be provided directly or through public and private organizations, agencies and other sub-recipients including non-profit and for-profit sub-recipients.
- Micro loans will be used to provide assistance to persons owning or developing a micro enterprise that has five or fewer employees, one or more of whom owns the enterprise. At least 51% of the employees must be low-to-moderate income and have a total household income less than HUD's current low income criteria (80% of median family income).

Specific Micro loan assistance includes provisions for:

- Loan and other forms of financial support for the establishment, stabilization and expansion of small business enterprises (Micro Enterprises).
- Technical assistance, advice and business services to owners and development of micro enterprises.
- Training, technical assistance and other support activities to carry out micro loan activities.
- All micro loans cannot exceed \$25,000 and must meet the public benefit standards of the CDBG Program for individual activities and aggregate standards.
- All projects must create jobs in Jefferson County excluding the cities of Birmingham, Bessemer, Hoover, Argo, County Line, West Jefferson, Helena and Sumiton.

REQUIREMENTS AND PARAMETERS FOR THE ECONOMIC DEVELOPMENT FLOAT LOAN PROGRAM

Community Development Block Grant (CDBG) Float Loans are available to businesses located in Jefferson County (excluding the cities of Birmingham, Bessemer, Hoover, Argo, County Line, West Jefferson, Helena and Sumiton) from the Jefferson County Alabama Departments of Community and Economic Development.

An eligible for-profit entity or non-profit entity may apply for a short term loan under this program under the following conditions:

- The business must demonstrate that public financing of the project is necessary and appropriate to create or retain jobs.
- The business must provide an unconditional, irrevocable letter of credit in U. S. dollars in the full amount of the principal and interest due, as collateral for the loan. The letter of credit must come from a financial institution with corporate headquarters in the United States, preferable in Alabama. The financial institution must be acceptable to Jefferson County.
- The business must agree to create jobs and make the majority (51%) of them available to qualified lower income candidates whose total household income is less than HUD's current low income criteria (80% of median family income). Retention of jobs can also be considered as a qualifying factor under certain conditions if a strong case can be made that they will be lost.
- The business must agree to comply with all applicable federal, state, and local laws and regulations.
- Principal and interest will be due at maturity or on demand, whichever occurs first. The normal term for a Float Loan is 24 – 30 months. However, since funds extended under the Float Loan Program are already committed to other jurisdictions that anticipate using the funds when the loan matures, there is a remote possibility that the loan may be called early to honor the original commitment. The Departments of Community and Economic Development will structure the Float Loan with every expectation that the loan will not be called prior to maturity.
- The interest rate on the Float Loan will be negotiated based on the contribution the project will make to job creation or retention but in no case will it be a zero percent loan.

All Float Loans must meet the Public Benefits Standards of the CDBG Program for individual activities and aggregate standards.

Evaluation of Past Performance

In Program Year 2010, Jefferson County received \$2,219,874 in CDBG funds, \$330,000 in program income; \$1,029,858 in HOME funds, \$229,965 in County match and \$100,000 in program income; and \$90,320 in federal ESG funds and \$103,150 in state ESG funds, making a total of \$4,103,167.00 in funds available for Program Year 2010-11.

Out of the \$4,103,167.00 Jefferson County allocated \$2,768,457 in CDBG funds in the following non-housing categories: Public Facilities: \$400,000, Infrastructure: \$600,000, Public Services: \$55,000, Economic Development: \$200,000, and Program Administration: \$569,874.

From the 2010 Program Year funds, Jefferson County allocated funds for 2 - parks/recreation facilities, 2 - water improvement projects, and 1 street improvement project.

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Program Year 2 Action Plan General Questions response:

1. Jefferson County is an Urban County as defined by HUD. The Urban County consists of the Jefferson County Community Development Consortium which includes all of Jefferson County outside of the cities of Birmingham, Bessemer, County Line, West Jefferson, Hoover, Helena, Sumiton and Argo. All housing programs (CDBG & HOME) are defined as "direct beneficiary" activities where each beneficiary must be low/mod income. Concentrations of low and moderate income residents are outlined below. Based upon historical trends, these areas are where most of the housing beneficiaries may be found.

Year 2010 concentrations of LMI Households are incomplete. Available data is shown below.

Year 2010 Partial Data Taken From HUD

IDIS Website Data

TRACT	BLKGRP	LOWMODPCT
010002	2	51.5
010401	5	65
010402	1	52.8
010500	1	60.4
010500	2	64.3
010602	1	72
010602	3	77
010602	5	59.1
010602	6	55.9
010900	3	52.1
010900	5	79
010900	6	63.3
010900	7	62.1
010900	9	71.8
011400	1	61.2
011500	2	53.3
012002	1	52.2
012002	2	58.4
012402	1	53.8
012403	2	82.3
012500	1	51.2
012500	2	59.1
012602	1	51.4
012602	2	60.1
013200	2	58.4
013200	3	66.9
013300	1	68.2
013300	2	65.4
013300	3	74.2
013400	2	54.7
013601	1	56.7
013601	2	77.8
013601	3	63.2
013601	5	58.8
013801	1	61.3
013801	2	55.6
013901	1	56.2
014102	3	52.8
014104	2	53.8
014301	3	56.1

Population Shifts in Jefferson County

The Jefferson County Community Development Consortium has a 2000 Census population of 666,047 persons and a 2010 population of 656,468 persons for a change of -0.54%. In a Birmingham News article written by Jeff Hanson and dated March 28, 2011, "Dr. Rosie O'Beirne, an anthropologist with the University of Alabama at Birmingham said that Birmingham's pattern matches the national trend of increasing diversity in the suburbs." Dr. O'Beirne states that "it's really middle-class flight, not black flight or white flight". Dr. O'Beirne further states as follows:

"Historically blacks and whites have been segregated in Birmingham, and a lot of that had to do with racism. We're in an era where racial ideology doesn't have the same effect as it once did. You have a rising middle class population."

Of this group, 58.1% were white in 2000 and 53% were white in 2010 and in 2000 39.4% were black and in 2010 42% were black. For the purpose of the 2011 Annual Plan, 2010 census data can be used to show racial concentration in municipalities but said data remains unavailable for unincorporated areas. Areas of African-American concentrations are defined as tracts/block groups which have African-American populations of 20% or more. Hispanic concentrations, while relatively small, are also shown due to the significant increases which have taken place between 1990 and 2010.

The tables shown below were prepared by the Regional Planning Commission of Greater Birmingham using 2010 Census data and were taken from their web-site named <http://www.rpcgb.org/>. These tables show the population changes incorporated areas of Jefferson County and reflect the data reported by the Birmingham News. It further shows county data.

**Changes in Incorporated Areas 2000-2010
for All Races**

Incorporated Area	2000 Census	2010 Census	# Change	% Change
	Population	Population		
Adamsville	4,965	4,522	-443	-8.92%
Bessemer	29,672	27,456	-2,216	-7.47%
Birmingham	242,820	212,237	-30,583	-12.59%
Brighton	3,640	2,945	-695	-19.09%
Brookside	1,393	1,363	-30	-2.15%
Cardiff	82	55	-27	-32.93%
Center Point*	0	16,921	16,921	100%
Clay*	0	9,708	9,708	100%
Fairfield	12,381	11,117	-1,264	-10.21%
Fultondale	6,595	8,380	1,785	27.07%
Gardendale	11,626	13,893	2,267	19.50%
Graysville	2,344	2,165	-179	-7.64%
Homewood	25,043	25,167	124	0.50%
Hoover	62,742	81,619	18,877	30.09%
Hueytown	15,364	16,105	741	4.82%
Irondale	9,813	12,349	2,536	25.84%
Kimberly	1,801	2,711	910	50.53%
Leeds	10,455	11,773	1,318	12.61%
Lipscomb	2,458	2,210	-248	-10.09%
Midfield	5,626	5,365	-261	-4.64%
Montevallo	4,825	6,323	1,498	31.05%
Morris	1,827	1,859	32	1.75%
Mountain Brook	20,604	20,413	-191	-0.93%
Mulga	973	836	-137	-14.08%
North Johns	142	145	3	2.11%
Pinson*	0	7,163	7,163	100%
Pleasant Grove	9,983	10,110	127	1.27%
Sylvan Springs	1,465	1,542	77	5.26%
Tarrant	7,022	6,397	-625	-8.90%
Trafford	523	646	123	23.52%
Trussville	12,924	19,933	7,009	54.23%
Vestavia Hills	24,476	34,033	9,557	39.05%
Warrior	3,169	3,176	7	0.22%
* denotes a new town.				

**Changes in Counties 2000-2010
for All Races**

Incorporated Area	2000 Census	2010 Census	# Change	% Change
	Population	Population		
Blount County	51,024	57,322	6,298	12.34%%
Chilton County	39,593	43,643	4,050	10.23%%
Jefferson County	662,047	658,466	-3,581	-0.54%
St. Clair County	64,742	83,593	18,851	29.12%%
Shelby County	143,293	195,085	51,792	36.14%%
Walker County	70,713	67,023	-3,690	-0.00052
RPCGB Total	1,031,412	1,105,132	73,720	7.15%%

The Birmingham News printed on February 25, 2011 an article entitled "2010 Census: Birmingham Shrinks, Hoover, Shelby Surge" written by Thomas Spencer of the Birmingham News. Using 2010 Census Bureau data the article listed the Fastest-Growing Cities in Jefferson and Shelby County counties as shown below. Please note that only three of these cities are within the Consortium (Trussville, Kimberly & Vestavia Hills) and Hoover, non-consortium city is located in both Jefferson and Shelby counties.

Fastest-Growing Cities Jefferson and Shelby Counties, by Percentage			
			% Change
Rank	City	2010 Population	From 2000
1	Calera	11,620	268.00
2	Chelsea	10,183	245.30
3	Helena	16,793	63.10
4	Trussville	19,933	54.20
5	Kimberly	2,711	50.50
6	Pelham	21,352	48.60
7	Vestavia Hills	34,033	39.00
8	Alabaster	30,352	34.20
9	Montevallo	6,323	31.00
10	Hoover	81,619	30.10

Using 2010 Census Data, the same article shows the "Fastest-Shrinking Cities in Jefferson and Shelby Counties" as shown below:

Fastest-Shrinking Cities Jefferson and Shelby Counties, by Percentage			
Rank	City	2010 Population	% Change from 2000
1	Cardiff	55	-32.9
2	Brighton	2,945	-19.1
3	Mulga	836	-14.1
4	Birmingham	212,237	-12.6
5	Maytown	385	-11.5
6	Fairfield	11,117	-10.2
7	Lipscomb	2,210	-10.1
8	Adamsville	4,522	-8.9
9	Tarrant	6,397	-8.9
10	Graysville	2,165	7.6

Unlike the first table shown in the [Birmingham News](#) article, **9 of the Fastest-Shrinking Cities are in the Consortium** and remaining municipality is the City of Birmingham located primarily within Jefferson County.

Minority Concentrations Within Jefferson County

The African-American population of Jefferson County represents the largest minority group within Jefferson County representing 42% of the total population of Jefferson County in 2010 for an increase of 2.6%. While the 2010 data is currently unavailable for unincorporated areas, it is available for municipalities. The areas with the highest % of African-American populations are as follows:

African-American Concentrations Incorporated Jefferson County & Adjacent Jurisdictions for Year 2000 & 2010 (does not include CDP data)		
	Yr. 2000 % of Total	Yr. 2010 % of Total
Birmingham	73.50	73.4
Bessemer	69.60	71.2
Adamsville	22.8	44.9
Brighton	89.10	91
Centerpoint	NA	62.9
Fairfield	90.20	94.6
Graysville	23.10	23.6
Hueytown	15.50	27.2
Irondale	25.30	35.4
Lipscomb	65.60	61
Midfield	59.50	81.6
Pleasant Grove	14.40	44.8
Tarrant	18.7	52.3

Alabama Census 2010 Data as found in <http://www.al.com.census/>.

There has been a significant population shift within Jefferson County over the last ten years with African-Americans moving into suburban areas and away from the inner city.

African-American and Caucasian Concentrations Within Jefferson County

African-American Population in Jefferson County & Adjacent Jurisdictions for Year 2000 & 2010			Caucasian Population in Jefferson County & Adjacent Jurisdictions for Year 2000 & 2010		
	Yr. 2000 % of Total	Yr. 2010 % of Total		Yr. 2000 % of Total	Yr. 2010 % of Total
Jefferson County	39.40	42	Jefferson County	58.1	53
Shelby County	7.40	10.6	Shelby County	89.8	83
Bibb County	22.20	22	Bibb County	76.9	75.8
Blount County	1.20	1.3	Blount County	95.1	92.6
St. Clair County	8.10	8.6	St. Clair County	90	88.2
Tuscaloosa County	29.30	29.6	Tuscaloosa County	68.1	66.3
Birmingham	73.50	73.4	Birmingham	24.1	22.3
Bessemer	69.60	71.2	Bessemer	28.9	24.3
Hoover	6.80	14.8	Hoover	87.7	75.1
Adamsville	22.8	44.9	Adamsville	75.8	52.3
Brighton	89.10	91	Brighton	9	6.5
Brookside	8.70	18.5	Brookside	89.9	79.5
Cardiff	6.10		Cardiff	93.9	
Centerpoint	NA	62.9	Centerpoint	NA	32.6
Fairfield	90.20	94.6	Fairfield	8.9	4.2
Fultondale	5.30	16.6	Fultondale	91.6	75.1
Gardendale	1.50	8.6	Gardendale	97.2	89.4
Graysville	23.10	23.6	Graysville	75.3	73.9
Homewood	15.30	17.3	Homewood	79.8	74.6
Hueytown	15.50	27.2	Hueytown	83.5	70
Irondale	25.30	35.4	Irondale	71.7	56.3
Kimberly	1.60	1.8	Kimberly	81.2	96.2
Leeds	15.90	14.3	Leeds	81.2	78.7
Lipscomb	65.60	61	Lipscomb	32.5	22
Midfield	59.50	81.6	Midfield	39.3	16.4
Morris	0.50	1	Morris	98.1	97.7
Mtn Brook	0.30	1	Mtn Brook	98.1	97.2
Mulga	13.20	16.9	Mulga	85.1	81
Pleasant Grove	14.40	44.8	Pleasant Grove	84.5	53.7
Tarrant	18.7	52.3	Tarrant	78.5	39
Trafford	1	6.2	Trafford	98.5	92.7
Trussville	1.5	6.6	Trussville	96.4	90.3
Vestavia Hills	1.9	3.8	Vestavia Hills	93.5	90.4
Warrior	15.4	14.2	Warrior	83	83.1

Hispanic Population Changes in Jefferson County from 1990-2010

The Hispanic population in Jefferson County rose from 2,754 in 1990 to 25,488 in 2010. While most jurisdictions have some Hispanic residents, the Consortium areas with the greatest concentrations (raw numbers) are Brighton, Lipscomb, Vestavia, Homewood, Irondale, Leeds, Tarrant and Fultondale. The areas with the highest percentage of Hispanic population are Brighton, Lipscomb and Fultondale. The Hispanic population within the City of Lipscomb is the highest percentage (19.73%) within the Consortium.

Incorporated Area & Counties	2010 Census Population	2010 Hispanic Population	2010 Hispanic % of Total
Jeff Co	658,466	25,488	3.87%
Shelby	195,085	11,567	5.93%
Blount	57,322	4,626	8.07%
St clair	83,593	1,716	2.05%
Adamsville	4,522	102	2.26%
Bessemer	27,456	1,113	4.05%
Birmingham	212,237	7,704	3.63%
Brighton	2,945	407	13.82%
Brookside	1,363	9	0.66%
Center Point*	16,921	806	4.76%
Clay*	9,708	127	1.31%
Fairfield	11,117	127	1.14%
Fultondale	8,380	909	10.85%
Gardendale	13,893	207	1.49%
Graysville	2,165	37	1.71%
Homewood	25,167	1,846	7.34%
Hoover	81,619	4,915	6.02%
Hueytown	16,105	321	1.99%
Irondale	12,349	961	7.78%
Kimberly	2,711	22	0.81%
Leeds	11,773	774	6.57%
Lipscomb	2,210	436	19.73%
Midfield	5,365	77	1.44%
Morris	1,859	20	1.08%
Mountain Brook	20,413	198	0.97%
Mulga	836	5	0.60%
North Johns	145		0.00%
Pinson*	7,163	267	3.73%
Pleasant Grove	10,110	57	0.56%
Tarrant	6,397	578	9.04%

Trafford	646	6	0.93%
Trussville	19,933	250	1.25%
Vestavia Hills	34,033	835	2.45%
Warrior	3,176	25	0.79%
* denotes a new town.			

US Census 2010 Data as found in the AI for 2010 (original)
 US Census 2010 Data as found in <http://www.ai.com.census/>.

Hispanic Population Changes in Jefferson County from 1990-2010					
	1990	2000	2000	2010	2010
	Hispanic	Hispanic	Change %	Hispanic	Change %
Jefferson County	2,745	10,280	274.50	25,488	148
Shelby County	525	2,910	454.29	11,567	297
Bibb County	286	210	-26.57	406	93
Blount County	286	2,718	850.35	4,626	70
St. Clair County	209	686	228.23	1,716	150
Birmingham	1,038	3,764	262.62	7,704	105
Bessemer	76	338	344.74	1,113	229
Hoover	366	2,380	550.27	4,915	107
Adamsville	12	0	-100.00	102	292
Brighton	6	17	183.33	407	546
Brookside	3	5	66.67	9	296
Center Point				806	59
Clay				127	535
Fairfield	22	105	377.27	127	74
Fultondale	34	80	135.29	909	766
Gardendale	36	83	130.56	207	176
Graysville	3	11	266.67	37	236
Homewood	191	729	281.68	1,846	163
Hueytown	32	77	140.63	321	345
Irondale	75	383	410.67	961	265
Kimberly	5	26	420.00	22	5
Leeds	50	113	126.00	774	453
Lipscomb	2	69	3350.00	436	693
Midfield	10	28	180.00	77	863
Morris	3	7	133.33	20	186
Mtn Brook	120	174	45.00	198	66
Mulga	0	7	0.00	5	400
Pinson				267	134
Pleasant Grove	28	28	0.00	57	104
Tarrant	36	175	386.11	578	382
Trafford	5	0	-100.00	6	500
Trussville	37	110	197.30	250	129
Vestavia Hills	149	368	146.98	835	150
Warrior	6	0	-100.00	25	150

Census 2010 Data as found in <http://www.al.com.census/>.

2. **Allocation Priorities:** All Housing Programs (CDBG/HOME) are implemented Consortium-Wide (All of Jefferson County outside of the Cities of Birmingham, Bessemer, County Line, West Jefferson, Hoover, Sumiton, Argo and Helena). In infrastructure and social service programs, Jefferson County does not discriminate against any area of the County based upon geographic considerations. Every effort will, however, be made to target, where feasible, funds into areas which have the greatest need. Greatest need is defined as areas where 50% or more of the households have incomes 80% or less of median based upon HUD figures or where greater than 30% of the households are minority. This is based upon 2000 Census data until more complete 2010 data becomes available. A direct corollary has been seen between high minority concentrations and high poverty levels.

3. Due to the growing need for housing rehabilitation assistance in Jefferson County, yearly funding cuts on the national level and lack of funds at the local level work together to prevent us from properly addressing the needs of the Consortium underserved or otherwise. With this scarcity of program funding there is also a multi-year waiting period for housing rehabilitation assistance. Infrastructure and social service programs suffer from the same scarcity of funds meaning that less can be done with CDBG funds on an annual basis. To compensate for this, Jefferson County continues to seek alternate sources of funding.

4. **Resources** - Jefferson County expects to receive \$1,850,588 in CDBG funds, \$8,605 in CDBG program income; \$906,811 in HOME funds, and \$75,000 in HOME program income; \$89,937 in federal Emergency Shelter Grant funds and \$50,590 in federal Emergency Solutions Grant funds making a total of \$2,981,531 in funds available for Program Year 2011-12.

Section "8" funding per Public Housing Authority nets the following # of units:

A.	Leeds Housing Authority	-	182
B.	Jefferson County Housing Authority	-	1,678
C.	Fairfield Housing Authority	-	466
D.	Tarrant Housing Authority	-	87

The Alabama Housing Finance Authority*** has advised us that no Low Income Housing Tax Credits (LIHTC - 2011 allocations) have been funded within the Jefferson County Community Development Consortium.

Source Data: HUD.gov/pha profiles

***Alabama Housing Finance Authority

Annual Objectives 91.220(c)(3)

***If not using the CPMP Tool:** Complete and submit Table 3A.

***If using the CPMP Tool:** Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls

Goals and objectives to be carried out during the action plan period are indicated by placing a check in the following boxes.

<input checked="" type="checkbox"/>	Objective Category Decent Housing Which includes:	<input checked="" type="checkbox"/>	Objective Category: Expanded Economic Opportunities Which includes:	<input checked="" type="checkbox"/>	Objective Category: Expanded Economic Opportunities Which includes:
<input checked="" type="checkbox"/>	assisting homeless persons obtain affordable housing	<input checked="" type="checkbox"/>	improving the safety and livability of neighborhoods	<input checked="" type="checkbox"/>	job creation and retention
<input checked="" type="checkbox"/>	assisting persons at risk of becoming homeless	<input type="checkbox"/>	eliminating blighting influences and the deterioration of property and facilities	<input checked="" type="checkbox"/>	establishment, stabilization and expansion of small business (including micro-businesses)
<input checked="" type="checkbox"/>	retaining the affordable housing stock	<input checked="" type="checkbox"/>	increasing the access to quality public and private facilities	<input type="checkbox"/>	the provision of public services concerned with employment
<input checked="" type="checkbox"/>	increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability	<input type="checkbox"/>	reducing the isolation of income groups within areas through spatial deconcentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	<input checked="" type="checkbox"/>	the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
<input checked="" type="checkbox"/>	increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence	<input type="checkbox"/>	restoring and preserving properties of special historic, architectural, or aesthetic value	<input type="checkbox"/>	availability of mortgage financing for low income persons at reasonable rates using non-discriminatory lending practices
<input type="checkbox"/>	providing affordable housing that is accessible to job opportunities	<input type="checkbox"/>	conserving energy resources and use of renewable energy sources	<input checked="" type="checkbox"/>	access to capital and credit for development activities that promote the long-term economic social viability of the community

**Table 3A
2011 Summary of Specific Annual Objectives**

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Outcome /Objective*
	Rental Housing Objectives				
1	Rental Housing for the Elderly	HOME/Other	Single Unit	4	DH2
	Owner Housing Objectives				
2	Housing New Construction	HOME/Other	Single Unit	8	DH2
3	Housing Rehabilitation	CDBG/Other	Single Unit	33	DH2
	Homeless Objectives				
1	ESG Administration	ESG	N/A	N/A	N/A
2	Homelessness Prevention	ESG	Single Unit	10	DH3
3	Homeless Facility Operations	ESG	People	20	DH-1
4	Homeless Facility Essential Services	ESG	People	20	DH-1
	Special Needs Objectives				
4	See Rental Housing for the Elderly Above under Rental Housing Objectives				
	Community Development Objectives				
1	General Program Administration	CDBG	N/A	N/A	N/A
2	Housing Program Delivery	CDBG	N/A	N/A	N/A
3	Economic Development TA	CDBG	N/A	N/A	N/A
	Public Facilities Objectives				
1	Fairfield Library Improvements	CDBG	Public Facility	1	SL-1
2	Trussville Senior Citizens' Center	CDBG	Public Facility	1	SL-1
3	Vestavia Hills Senior Center	CDBG	Public Facility	1	SL-1
	Infrastructure Objectives				
1	West Highland Water	CDBG	Public Facility	1	SL-1
2	Tarrant Water	CDBG	Public Facility	1	SL-1
	Public Services Objectives				
1	Fair Housing & Home Ownership Counseling (under Housing)	CDBG/Other	Household's Counseled	100	DH1
	Economic Development Objectives				
1	Economic Development Loan	CDBG	Business	1	E0-1
	Other Objectives				

Type of Housing	All SHP Funds Requested (Current Year)	
	2010	2011
Transitional Housing (TH)	1,866,183	1,866,183
Safe Havens-TH	N/A	N/A
Permanent Housing (PH)	1,178,310	2,978,310
Safe Havens-PH	301,927	301,927
SSO	887,490	887,490
HMIS	138,600	138,600
Totals	\$ 4,372,510	\$ 6,172,510

Number of Bedrooms	All S+C Funds Requested (Current Year)			
	2010		2011	
	Units	\$	Units	\$
0	0	0	0	0
1	176	1,278,936	186	1,278,936
2	165	1,295,892	169	1,295,892
3	126	1,283,040	132	1,283,040
4	17	170,136	17	170,136
5	1	11,508	1	11,508
6	1	13,224	1	13,224
Totals	486	\$ 4,052,736	506	\$ 4,052,736

Managing the Process

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Program Year 2 Action Plan Managing the Process response:

1. The Jefferson County Office of Community & Economic Development is the lead agency for the development and the implementation of the Consolidated Plan. Jefferson County works with a variety of non-profit entities contractually responsible for implementation of portions of the plan. This is particularly important in providing assistance to the homeless.
2. An Open Season period was held from October 1, 2010 through January 31, 2011 in which 7 public hearings were held. In order to obtain information regarding the second Annual Action Plan of the 2010 Consolidated Plan. Two hearings were held at the Jefferson County Courthouse. Public hearings were also held at the following locations at 6:00 p.m. at Graysville City Hall, Irondale City Hall, Gardendale City Hall, Tarrant City Hall, and Fairfield Community Center. Those who participated in the development of the plan were non-profit agencies, homeless service providers, public housing authorities, residents of Jefferson County and local governments.
3. During the next year, Jefferson County plans to continue to meet with public and private housing, health, and social service agencies to provide resources to meet the needs of the Jefferson County Consortium. Jefferson County plans to work closely with these agencies in the development of coordinated strategic plans in order to provide a more comprehensive approach to meeting those needs and in determining where gaps may occur that need to be addressed.

Citizen Participation

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 2 Action Plan Citizen Participation response:

2010 Citizens' Participation Plan

The Housing and Community Development Act of 1974 became law in August 1974. In October 1977, President Carter signed the Housing and Community Development Act of 1977, which amended the 1974 Act. However, the objective of the legislation remains, as before, to develop viable urban communities, by expanding economic opportunities and providing decent housing and a suitable living environment, principally for persons of low and moderate income. Another equally important objective is to help elderly groups and disabled persons.

Jefferson County is eligible to participate in the Community Development Block Grant program under a special provision for "Urban Counties." Urban Counties are those with a minimum population of 200,000 persons or more. In order to meet the population requirement, a consortium of thirty Jefferson County cities was assembled, excluding the cities of Birmingham, Bessemer, County-Line, West Jefferson, Argo, Sumiton, Helena and Hoover. They are as follows:

Adamsville	Hueytown	Mulga
Brighton	Irondale	Pleasant Grove
Brookside	North Johns	Sylvan Springs
Cardiff	Kimberly	Tarrant
Center Point	Leeds	Trafford
Clay	Lipscomb	Trussville
Fairfield	Fultondale	Maytown
Vestavia Hills	Gardendale	Midfield
Warrior	Graysville	Morris
Homewood	Pinson	Mountain Brook

The Community Development Block Grant program has been operating in Jefferson County since 1976, the Emergency Shelter Grant program since 1989, and the HOME program since 1992. These programs are part of a consolidated planning and application process and they require a written Citizen Participation Plan. This Plan describes the process for involving citizens in the establishment and priorities of needs for the expenditure of funds, and the preparation of the Consolidated Plan and Action Plan.

The Consolidated Plan began with the 1995 Program Year. The applications for CDBG, HOME, ESG, as well as other Federal programs the County participates in, are included in one application. The Consolidated Plan\Action Plan also has specific citizen participation requirements which, "...are designed to encourage participation by very low, and low-income persons, particularly those living in slum and blighted areas, and in areas where CDBG funds are proposed to be used. Jurisdictions are expected to take whatever actions are appropriate to encourage the participation of all of its citizens, including minorities and non-English speaking persons, as well as persons with mobility, visual or hearing impairments."

To accomplish this, the regulations require that:

- 1) Information on the program be supplied to citizens,

- 2) That at least two different public hearings be held during the Consolidated Planning Process, and
- 3) That citizens have an opportunity to comment on the County's Consolidated Plan\Action Plan and on the County's performance with the County responding to citizen comments.

Introduction

The Office of Community & Economic Development is the management arm of the Jefferson County Commission for all matters associated with the CDBG, HOME, and ESG programs. It provides, through close coordination and cooperation with the Commission and citizens, the means for developing plans, implementing projects, and analyzing performance of the programs.

Each municipality in the consortium will be requested to designate an Advisory Council member to meet with the Office of Community & Economic Development and make recommendations about the planning process. Persons from unincorporated areas of the County and non-profit organizations will also be invited to participate in this process. This group will be known as the Technical Advisory Committee. It will meet at least twice during a Program Year. The primary purpose of this group will be to advise the Office of Community & Economic Development. They will have no funding authority. All applications for financial assistance from an incorporated municipality, which involves construction activities, will have to be coordinated through the mayor. Unincorporated areas may submit applications directly to the Office of Community & Economic Development.

Public Hearings

In addition to the two Technical Advisory Committee meetings, Jefferson County will hold public hearings at two different times during the Consolidated Plan\Action Plan process. The first series of meetings will be held early in the planning stage and the last series will be held after the publication of a summary of the proposed plan in local newspapers.

Public hearings are normally held at the Jefferson County Office of Community & Economic Development during working hours, but as a special case, may be held at various locations around the County to give more citizens the opportunity to attend. The County will make arrangements to accommodate citizens who are physically challenged.

Housing Authorities

The County shall work with area Housing Authorities to encourage the participation of residents of public and assisted housing developments in the Consolidated\Action Plan process. The County shall also provide information to the housing agencies about housing and community development plan activities related to its developments and surrounding communities so that the housing agency can make this information available at any public hearing required under the Public Housing program.

Topics of Meetings and Hearings

The meetings shall address housing and community development needs, development of proposed activities, and in at least one series of meetings, to review program performance. The hearings are to obtain the views of citizens on housing and community development needs, including priority non-housing community development needs.

Provision of information involves three distinct requirements:

1. Provision of program information;
2. Disclosure of program records; and,
3. Publication of notices concerning the Consolidated/Action Plan, the Annual Performance Reports, and the Citizen Participation Plan.

Provision of Program Information

At each public hearing Jefferson County will make available to citizens and public agencies, information on the amount of Federal assistance and the number of years the County expects to receive it, the range of eligible activities, a summary of past activities, and the number of activities that will benefit low-, very low-, and extremely low-income persons.

Disclosure of Program Records

Jefferson County will maintain at all times information and records on the Consolidated/Action Plan and the use of assistance for the previous five years. This information will be available for public review at the Jefferson County Office of Community & Economic Development. However, some records, such as applications, deal with personal income and other information on individuals, and must therefore be kept confidential. With the exception of these, all records of program activity are available for review at the Office of Community & Economic Development during normal working hours. The Citizen Participation Plan and the Consolidated/Action Plan will be available in printed form.

Public Notification of Program Action

Notice of the public hearings for the Consolidated/Action Plan process will be publicized in a manner appropriate to the area where the hearing will be held, and can include posting of notices locally, radio and television public service announcements, announcements to local churches, and mailed notices. Notification will also be sent to participating local governments. All notifications shall contain an explanation of the subject and scope of the hearings at least ten (10) days prior to the public hearing.

Availability of the Draft Consolidated/Action Plan

A summary of the Draft Consolidated/Action Plan will be published in at least one local newspaper of general circulation and one minority newspaper prior to the final series of public hearings on the Plan. Notification that the County will accept comments on the plan for 30 days will also be included in the publication. The publication will include a listing of public hearings to be held on the draft, as well as their times and locations. Notification will be made of the availability of the complete plan for public review at the Jefferson County Office of Community & Economic Development and at least seven public libraries whose locations are geographically dispersed throughout the County.

The adopted Consolidated/Action Plan, any amendments to the Plan, and the Annual Performance Report will be available to the public at the Office of Community Development.

Consolidated Annual Performance Report

Notification of the availability of the Consolidated Annual Performance Report shall be published in at least one newspaper of general circulation and at least one minority newspaper. The report will be available at the Office of Community & Economic Development. Comments will be accepted on the Plan for a period of 15 days following the publication of the notice.

Citizen Participation Plan

Jefferson County shall publish the proposed Citizen Participation Plan in a newspaper of general circulation and one minority newspaper and send notification of its availability to area governments and such non-profit organizations and citizens groups as it deems appropriate. The Plan shall be available in the Jefferson County Office of Community Development in printed form. The County will receive comments on the Plan for a period of 30 days after the publication of the Plan.

Relocation Information

The County will seek to minimize the displacement of persons. If displacement is to occur, the affected persons will be notified of the type and levels of assistance available prior to the County taking any action on the displacement. Any actions will be accomplished in accordance with the Uniform Relocation Act, as amended.

Technical Assistance

Technical assistance will be provided to non-profit organizations and citizens' groups representing low, very low, and extremely-low income people in preparing applications for funding under the programs covered in the County's Consolidated/Action Plan.

The reasons for which any technical assistance will be provided are:

- 1) To aid groups of low and very low-income persons and groups residing in blighted neighborhoods to prepare proposals and statements of views; or
- 2) To provide assistance to non-profit groups that serve low and very low-income groups to prepare proposals.

Provision of technical assistance will not be a guarantee of funding. To obtain assistance, requests must be made to the Jefferson County Office of Community & Economic Development within the Open Season date and/or the published cut-off time for application submission. The Jefferson County Commission will have final decision on the funding of any specific project that meets program eligibility.

Jefferson County will consider all comments or views received and respond to all written inquiries and written complaints on the Citizen Participation Plan, the Consolidated/Action Plan and any substantial amendments to the Plans, and the Annual Performance Report. Response will be made within fifteen (15) working days of receipt of the comments. In cases where the address is not known of the person commenting, responses will remain on file at the Office of Community & Economic Development.

Complaints

Jefferson County will accept complaints and criticisms on the CDBG, HOME, and ESG programs, the Consolidated/Action Plans, amendments and the Performance Report at any time. The County will respond to any written citizen complaint within fifteen (15) working days from the time of receipt. Complaints should be submitted to: Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr Blvd N, Ste. A-430, Birmingham, Alabama, Attn: Director. The procedure for responding to criticisms of the program will be handled as follows:

Complaints and grievances may be filed by any individual or group, a municipality, the County Commission, the Office of Community & Economic Development, the U.S. Department of Housing & Urban Development's Area Office, and any agency of the Federal Government, any member of Congress, or the Office of the President. Regardless of the origin of the complaint, or the first point of filing, it shall be reduced to writing, then based upon origin, it will be returned to the local government having direct jurisdiction.

If the complaint is based on a matter involving the Jefferson County CDBG Program or other Consolidated/Action Plan programs, the Office of Community & Economic Development, must be notified, and will coordinate the local review and possible resolution of the complaint or grievance. Upon notification, the Office will insure that a complete and accurate response is made to the complainant within fifteen (15) days to discuss the matter. The major responsibility of the Office is to determine the functional and legal origins of the complaint, to address the validity of each, and to verify the geographical origin.

Complaints arising in municipalities in the Consortium, and particularly those which involve direct concern with municipal policy or administration shall be initially handled at the municipal level, with the assistance of the Office of Community & Economic Development. Should the complaints not be resolved at that level, the matter shall be referred to the County Commission. A complete record of correspondence, meetings, and research information shall be forwarded to the Commission upon referral. It shall not be the policy of the Office of Community & Economic Development to deal with complaints without the knowledge and direct involvement of local elected officials having jurisdiction, but the Office will provide staff support and technical assistance during the local review in a city or in the County.

If, after review and investigation at each level, the complainant is not satisfied with the outcome or does not voluntarily withdraw the complaint, he or she may proceed to the next level or other forms of relief.

Internal Complaint Procedure

All complainants shall be directed to the office of the Director of Jefferson County's Office of Community & Economic Development. The Director's office will direct the complaint to the appropriate division or office. The appropriate office shall respond to the complaint and notification shall be sent to the Director's Office that shall include a copy of the response.

Criteria for Substantial Amendments

Amendments to the Consolidated/Action Plan: Jefferson County shall amend its Consolidated/Action Plan whenever a proposed activity is to be undertaken that is not covered by the Consolidated/Action Plan, or included in the approved Action Plan. Procedures for Amendments: The proposed amendment shall be published in a local newspaper of general circulation and the County shall receive comments for a period of thirty days. The County shall also provide appropriate units of local government with notification of the proposed change.

Use of the Citizen Participation Plan

Jefferson County shall follow the Citizen Participation Plan in the development of the Consolidated and Action Plans, the Amendments to those Plans, and for the Performance Reports.

1. An Open Season period was held from October 1, 2010 through January 31, 2011 in which 7 public hearings were held. In order to obtain information regarding the second Annual Action Plan of the 2010 Consolidated Plan. Two hearings were held at the Jefferson County Courthouse. Public hearings were also held at the following locations at 6:00 p.m. at Graysville City Hall, Irondale City Hall, Gardendale City Hall, Tarrant City Hall, and Fairfield Community Center. Those who participated in the development of the plan were non-profit agencies, homeless service providers, public housing authorities, residents of Jefferson County and local governments.
2. Citizens' comments and views were received at a public hearing held on Friday, July 8, 2011 at 2:00 p.m. at the Jefferson County Courthouse (716 Richard Arrington JR BLVD N). Written comments were also received until Friday, July 22, 2011 at the Jefferson County Office of Community & Economic Development - 716 Richard Arrington JR BLVD N, Room A-430, Birmingham, Alabama 35203.
3. The comment period was held between June 23, 2011 and July 22, 2011. A copy of the plan was made available at 11 libraries through out the County. A summary of the plan was published in the Birmingham Times (paper of minority circulation) and Birmingham News on Thursday, June 22, 2011. A summary of the plan was also mailed to members of the Jefferson County Consortium, neighborhood presidents, non-profits, homeless service providers and the Hispanic Interest Coalition of Alabama. Special accommodations were made available upon request for those with disabilities. None were requested.
4. All comments were accepted.

Institutional Structure

1. Describe actions that will take place during the next year to develop institutional structure.

Program Year 2 Action Plan Institutional Structure response:

1. Jefferson County will implement its Consolidated Plan through its Office of Community & Economic Development which will serve as lead agency. Jefferson County works with a number of agencies both public and non-profit in delivering services to the residents of the County. Jefferson County's HOME Program further solicits outside sources of funds through both public and private sectors including the Low Income Housing Tax Credits. The CDBG funded Housing Rehabilitation programs further work with volunteer and faith based entities where possible. The ESG program works through a variety of non-profit entities to support their Continuum of Care initiatives.
2. While there are unmet needs within Jefferson County, we have not identified any significant gaps in the service delivery system provided by the institutions within Jefferson County. Given the budget constraints, Jefferson County feels that it is meeting the identified high priority needs for both the County as a whole and its constituent local jurisdictions.
3. There are four Public Housing Authorities within the Jefferson County Community Development Consortium. These are the Fairfield, Tarrant, Leeds and Jefferson County Public Housing Authorities (PHA's). The Jefferson County Commission can appoint board members to the Jefferson County Public Housing Authority only. All other Housing Authorities operate independently of the Jefferson County Commission. Jefferson County works closely with local PHA's in supporting their efforts to improve the housing stock and quality of life of their beneficiaries. When requested Jefferson County reviews proposed capital improvements as well as proposed development, demolition or disposition of public housing development. Jefferson County does not fund any Housing Authority and has no involvement in procurement and hiring. This is done through the local Authority under plans approved by HUD.

Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

Program Year 2 Action Plan Monitoring response:

The County recognizes its responsibility to be fiscally and administratively accountable for its activities regarding federal assistance programs to be implemented under the Consolidated Plan. In carrying out the goals, objectives, and priorities of the One Year Action Plan through the involvement of inter-governmental service departments; selected community-based non-profit organizations; public/private partnerships; for-profit agencies and other eligible entities, the County will closely monitor its programs and activities, to ensure that planned performance goals and objectives are being met in accordance with all Federal Uniform Administrative Requirements, and specific program regulations governing each component of the Consolidated Plan. This includes mandated requirements concerning affirmative marketing for housing activities, equal employment opportunity issues relative to the hiring of employees and Section 3 program requirements regarding the use of low-income project area residents as employees and businesses as subcontractors, where applicable.

In all aspects, the County's approach to monitoring will be to improve program accountability and support results-oriented management by clearly communicating established priorities, needs and goals; providing measurable indicators of progress; and reporting on progress against these measures. Ongoing collaborations with participating agencies, organizations and departments will take place to share knowledge, experiences and to take advantage of their learning to solve similar problems. The County is committed to the provision of technical assistance to non-profits and others that will ultimately result in enhancing sub-recipient service delivery and contract management capabilities. Daily project tracking and performance reviews will also play a major role in solidifying the planned impact of these coordinated efforts.

Toward this end, written agreements, letters of appointment and/or procurement contracts will be executed with selected entities who have demonstrated the capacity to effectively address those needs as identified in the Plan. These documents will contain programmatic descriptions with quantifiable measurable performance goals and estimated time frames for completion.

Sub-recipient Monitoring:

The County will comply with the Contractual Regulatory Requirements, as referenced at Section 24 of the Code of Federal Regulations, Part 570, which outlines the following basic provisions which all Sub-recipient agreements must address:

Statement of Work/Scope of Services

Detailed description of work to be performed, quantifying specific performance goals/milestones for completion of activities, and how the project will ensure that intended beneficiaries are served. Detailed information regarding the planned impact of economic development activities including job creation and retention, where applicable. Housing rehabilitation/development activity descriptions will address specific work to be done, the number of units to be completed and performance benchmarks. The scope of services for public works and public facilities will be developed with the assistance of participating municipalities, Jefferson County, and private consultants where applicable.

Detailed budget of projected resources, indicating clearly how funds will be used to support planned activities.

Record-keeping Requirements

Identifying the sub-recipient's responsibility for providing timely financial reports, accurately documenting program progress, the frequency of reports required by the County and Federal Government. Documentation of CDBG participant on eligibility.

Program Income

Specific management and reporting procedures for those activities that may generate program income to ensure that funds are properly recorded, reported and expended.

Program Financial Audit

Detailing regulatory guidelines by which the County and sub-recipient agencies will be governed regarding the financial and programmatic responsibilities of grant administration.

Reversion of Assets

Details the return of any CDBG funds to the County upon expiration of agreements.

Other Program Requirements

Addressing such special areas as labor standards, fair housing requirements, prescribed conditions governing the participation of religious organizations, and other assurances and certifications where applicable.

Suspension and Termination Clause

Sets forth provisions for the County to terminate or suspend agreements for reasons of non-compliance or convenience.

These actions which provide Sub-recipients with all the necessary contract management and program implementation tools, will provide adequate and detailed information to effectively monitor both the sub-recipient and the County's performance under the Consolidated Plan.

Schedule:

The monitoring of Consolidated Plan approved program activities will be accomplished through the application of three basic functions: programmatic, financial and on-site monitoring. The first two functions are conducted from an in-house perspective based on the desk-top review of required monthly progress reports, periodic inter-departmental consultations, financial reimbursements and related documents, correspondences and other day-to-day contract management activities.

Significant observations resulting from all monitoring efforts will be documented in writing and placed in appropriate project files. Comments on the status of activities reflecting successes or lack of progress will be reflected with recommendations for corrective actions where necessary. For minor problems, on-going open collaborations with funded entities will provide an opportunity to share concerns, and offer immediate technical assistance. In instances where on-site monitoring have taken place a formal letter outlining monitoring results and any necessary corrective actions to be undertaken and time frames to resolve identified concerns will be transmitted to the appropriate authorities. In addition to daily monitoring and management functions, the County will conduct at least one formal on-site monitoring of Sub-recipients per quarter. However, unlimited monitoring will take place for those agencies demonstrating the need.

The U.S. Department of Housing and Urban Development provides further oversight of the County's CDBG activities and other financial assistance programs through its annual monitoring, required program specific performance reports and technical assistance upon request. The County anticipates that this policy will continue.

Home Program Monitoring:

1. Community Development Department staff reviews all HOME Program proposals for feasibility and according to how each can best address the County's affordable housing priorities identified in the County's Consolidated Plan and Action Plan.
2. After proposals are reviewed, the Office of Community Development makes its recommendations to the Jefferson County Commission based on program objectives.
3. Upon approval, HOME affordable housing providers are informed as to the disposition of their proposal. Agreement/Contracts are prepared for execution by the County and HOME affordable housing providers approved for funding.
4. Community Development staff monitor the contractual agreement through project completion and throughout the duration of applicable affordability periods or as required by HOME Program regulations.
5. The County will undertake annual inspection of rental properties to determine upkeep and income compliance or as required by HOME Program regulations.

PROGRAM SPECIFIC REQUIREMENTS

CDBG 91.220(I)(1)

1. Identify program income expected to be received during the program year, including:
 - amount expected to be generated by and deposited to revolving loan funds;
Response: \$140,000.00
 - total amount expected to be received from each new float-funded activity included in this plan; and
Response: -0-
 - amount expected to be received during the current program year from a float-funded activity described in a prior statement or plan.
Response: -0-

2. Program income received in the preceding program year that has not been included in a statement or plan.

Response: -0-

3. Proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in its strategic plan.

Response: -0-

4. Surplus funds from any urban renewal settlement for community development and housing activities.

Response: -0-

5. Any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.

Response: -0-

6. Income from float-funded activities.

Response: -0-

7. Urgent need activities, only if the jurisdiction certifies.

Response: -0-

8. Estimated amount of CDBG funds that will be used for activities that benefit persons of low- and moderate income.

Response: \$2,040,588.00

HOUSING

Annual Affordable Housing Goals 91.220(g)

**If not using the CPMP Tool: Complete and submit Table 3B Annual Housing Completion Goals.*

**If using the CPMP Tool: Complete and submit the Table 3B Annual Housing Completion Goals.*

**U.S. Department of Housing
OMB Approval No. 2506-0117
and Urban Development
(Exp. 4/30/2011)**

ANNUAL AFFORDABLE HOUSING COMPLETIONS GOALS

Grantee Name: Jefferson County, Alabama Program Year: 2010	Expected Annual Number of Units To Be Completed	Resources used during the period			
		CDBG	HOME	ESG	HOPWA
BENEFICIARY GOALS (Sec. 215 Only)					
Homeless households	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households	41	X	X	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households	4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Sec. 215 Beneficiaries*	45	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
RENTAL GOALS (Sec. 215 Only)					
Acquisition of existing units	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	0	<input type="checkbox"/>			<input type="checkbox"/>
Rehabilitation of existing units	4	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Rental	4	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
HOME OWNER GOALS (Sec. 215 Only)					
Acquisition of existing units	0	<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	8	<input type="checkbox"/>	X		
Rehabilitation of existing units	33	X	<input type="checkbox"/>		
Homebuyer Assistance	0	<input type="checkbox"/>	X		<input type="checkbox"/>

Total Sec. 215 Affordable Owner	41	X		<input type="checkbox"/>	<input type="checkbox"/>
COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)					
Acquisition of existing units	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	8	<input type="checkbox"/>	X		<input type="checkbox"/>
Rehabilitation of existing units	37	X	X	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Homebuyer Assistance	0	<input type="checkbox"/>	X		<input type="checkbox"/>
Combined Total Sec. 215 Goals*	45	X	X	<input type="checkbox"/>	<input type="checkbox"/>
OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)					
Annual Rental Housing Goal	4	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	41	X	X	<input type="checkbox"/>	<input type="checkbox"/>
Total Overall Housing Goal	45	X	X	<input type="checkbox"/>	<input type="checkbox"/>

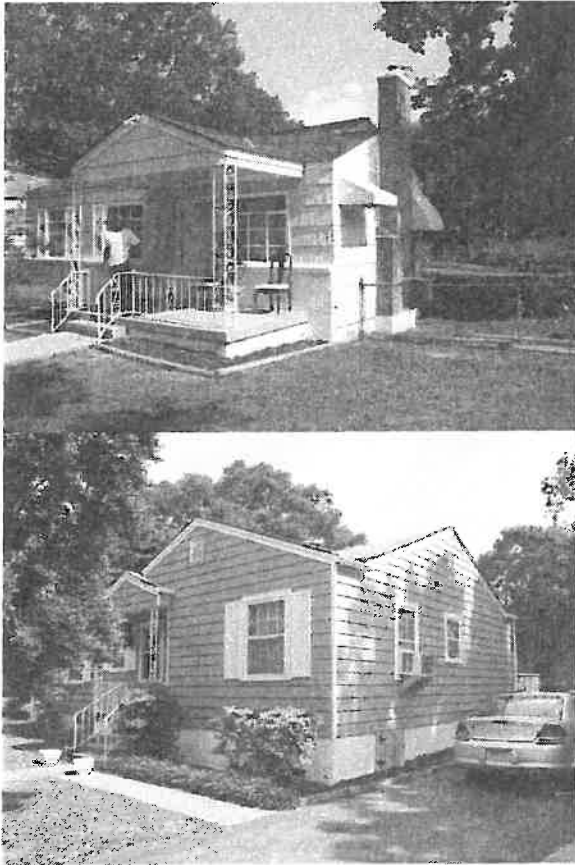
ANNUAL AFFORDABLE HOUSING COMPLETIONS GOALS (Continued)

4. Describe the one-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing using funds made available to the jurisdiction and one-year goals for the number of households to be provided affordable housing through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units using funds made available to the jurisdiction. The term affordable housing shall be defined in 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership.

Homeless Response:

Jefferson County plans to continue to provide housing relocation and stabilization services along with needed financial assistance for eligible Homelessness Prevention and Rapid Re-Housing (HPRP) clients until all HPRP funds have been exhausted, or until the grant period expires on July 15, 2012.

Estimated number to be served between 10/1/11-09/30/12: 2



Response:

- a. Emergency Housing Rehabilitation Grant Program (CDBG):
25 homes repaired for lower income households broken down as follows:
10 - 0%-30% of Median Household Income (MHI)
10 - 31%-50% of MHI
5 - 51%-80% of MHI
- b. Housing Accessibility Program:
8 homes repaired for lower income households broken down as follows:
4 - 0%-30% of Median Household Income (MHI)
2 - 31%-50% of MHI
2 - 51%-80% of MHI
- c. Home-Buyer Assistance Program (HOME): 8 new homes will be built and sold to households with incomes in the 51%-80% of MHI.
- d. Rental Housing for the Elderly (HOME): Build/rehabilitate 4 rental units for the elderly over the next year.

Public Housing 91.220(h)

5. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.

Response:

Jefferson County will support the efforts of Consortium Public Housing Authorities (PHA's) to address the needs of public housing and public housing activities that they will undertake during the next year. Jefferson County will further support the efforts of Consortium PHA's to encourage public housing residents to become more involved in management and encourage their interest and efforts to move to homeownership.

6. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Response:

The four Public Housing Authorities within the Jefferson County Community Development Consortium are rated as follows:

Fairfield Housing Authority	:	62 (Substandard Physical)
Jefferson County Housing Authority	:	87 (Standard Performer)
Leeds Housing Authority	:	91 (High Performer)
Tarrant Housing Authority	:	87 (Standard Performer)

None of these authorities meet the definition as "troubled" by HUD.

Source: HUD.gov/Public Housing/HA Profiles Details. June 20, 2011.

Barriers to Affordable Housing 91.220(j)

7. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Response:

- a. Jefferson County will pursue programs and initiatives that will, where feasible, address the high cost of housing construction. Such incentives that exist will be provided through CDBG, HOME, LIHTC (Special Needs Only) and other initiatives.
- b. The HOME Program provides financial assistance to qualified home buyers that will offset a portion of the cost or barriers to affordable housing.

- c. The HOME Program will further be used to finance affordable housing for the elderly and those with special needs.
- d. Jefferson County will consider other options as needed.

Other Actions 91.220(k)

8. Describe the actions that will take place during the next year to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce the number of housing units containing lead-based paint hazards, reduce the number of poverty-level families develop institutional structure, enhance coordination between public and private agencies (see 91.215(a), (b), (i), (j), (k), and (l)).

Response:

- a. All Housing Rehabilitation Programs implemented by Jefferson County through its Office of Community & Economic Development will be implemented in compliance with all applicable Federal, State and local rules and regulations.
 - b. Jefferson County will further consider applying for additional lead hazard reduction funds on a periodic basis.
9. Describe the actions to coordinate its housing strategy with local and regional transportation planning strategies to ensure to the extent practicable that residents of affordable housing have access to public transportation.

Response: Jefferson County has checked with the available transit providers and discerned that most public housing communities are served by the Birmingham-Jefferson County Transit Authority. In areas not served by the Authority, the elderly and those with disabilities are served by ClasTran.

HOME 91.220(l)(1)

1. Describe other forms of investment. (See Section 92.205)
If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254.

Response:

Jefferson County may invest HOME funds as equity investments, interest-bearing loans or advances, non-interest-bearing loans or advances, interest subsidies consistent with 24 CFR Part 92, deferred payment loans, grants or other forms of assistance that HUD determines to be consistent with the purposes of said Part.

In addition, Jefferson County may invest HOME funds to guarantee loans made by lenders and, if required, the County may establish a loan guarantee account with HOME funds. The HOME funds may be used to guarantee the timely payment of principal and interest or payment of the outstanding principal and interest upon foreclosure of the loan. The amount of the loan guarantee account must be based on a reasonable estimate of the default rate on the guaranteed loans, but under no circumstances may the amount on deposit exceed 20 percent of the total outstanding principal amount guaranteed; except that the account may include a reasonable minimum balance. While loan funds guaranteed with HOME funds are subject to all HOME requirements, funds which are used to repay the guaranteed loans are not.

Other Forms of Investment: Jefferson County has shown all forms of investment that it currently proposes to make. Jefferson County will, however, consider other forms of investment proposed over the fiscal year beginning 10/01/10. If funded, these forms of investment would meet all HOME regulations and would be done to comply with the regulations governing both the HOME and Consolidated Plan Regulations.

2. If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, state its refinancing guidelines required under 24 CFR 92.206(b).

Response: HOME funds will not be used to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds.

3. Resale Provisions -- For homeownership activities, describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).

Response:

(1) Long-Term Affordability [92.254(a)(4)]

Home rules require that assisted properties remain affordable for a specific period of time (5, 10, 15 or 20 years), depending on the activity and the level of HOME funds invested. Participating Jurisdictions are required to place certain restrictions on assisted properties in order to preserve affordable housing in their communities. Restrictions involving the period of affordability will be incorporated in a deed restriction and mortgage documents or other appropriate and binding documents (Note: covenants on land may be used in lieu of deed restrictions).

(2) The Resale/Recapture Requirements [92.254(a)(5)]

The Participating Jurisdiction must choose a Resale or Recapture option should the HOME recipient decide to sell their home within the affordability period. Under the "recapture" option, the recipient repays some or all of the HOME subsidy to the Participating Jurisdiction and is able to sell his/her home to any buyer at whatever price the market will bear. Jefferson County will require full repayment of outstanding HOME funds at the time of resale or, if the net proceeds are less than the full amount of the HOME subsidy, recapture of the net proceeds. The net proceeds of a sale are the sales price minus any non-HOME

loan repayments and closing costs. The net proceeds of a sale are the sales price minus any non-HOME loan repayments and closing costs. Jefferson County may at its option reduce the HOME investment amount to be recaptured on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period. These funds will be reinvested into HOME funded activities. In those cases where the real estate does not appreciate sufficiently to allow a full recapture, Jefferson County will reduce the repayment of the HOME subsidy to allow the original purchaser to resell the unit without incurring a loss.

The Period of Affordability will be suspended upon foreclosure by a superior lender or other transfer in lieu of foreclosure. However, if at any time following foreclosure or other transfer in lieu of foreclosure by such lender, but still during the Period of Affordability, the owner of record prior to the foreclosure or transfer in lieu of foreclosure, or any newly formed entity that includes the former owner or those with whom the former owner has or had family or business ties, obtains an ownership interest in the Property, the Period of Affordability shall be revived according to its original terms. In the event of foreclosure of the Property by County or a Superior Lender, then the recapture shall be limited to the net proceeds received by the County as described above.

Jefferson County will maintain Right of Purchase and Right of First Refusal on each property.

4. HOME Tenant-Based Rental Assistance -- Describe the local market conditions that led to the use of a HOME funds for tenant based rental assistance program.

If the tenant based rental assistance program is targeted to or provides a preference for a special needs group, that group must be identified in the Consolidated Plan as having an unmet need and show the preference is needed to narrow the gap in benefits and services received by this population.

Response: HOME funds will not be used for tenant based rental assistance.

5. If a participating jurisdiction intends to use forms of investment other than those described in 24 CFR 92.205(b), describe these forms of investment.

Response: No other forms of investment are anticipated.

6. Describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.

Response: AFFIRMATIVE MARKETING PROCEDURES AND REQUIREMENTS

In compliance with HOME final rule *24 CFR 92.351 a*, Jefferson County is adopting affirmative marketing procedures and requirements for HOME-assisted housing containing 5 or more housing units. The objective of this marketing plan is to promote fair housing by ensuring outreach to all potentially eligible households, especially those least likely to apply for assistance. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without

regard to a person's gender, race, color, national origin, religion, disability or familial status (persons with children under 18 years of age, including pregnant women). These procedures and requirements are as follows:

A. Public Information

Jefferson County will schedule an information meeting or meetings with the general public, owners and tenants to explain the HOME Program, Fair Housing Laws, and the County's Affirmative Marketing policy. The meeting will be advertised in a newspaper of general circulation, a minority owned newspaper serving the minority community, as well as with an announcement mailed to local mayors, Public Housing Agencies (PHA's) and community leaders. Jefferson County will provide speakers for smaller meetings on an as-requested basis.

B. Owner's Requirements and Practices

Recipients of assistance under the HOME Program will be required to practice Affirmative Marketing. Each developer of HOME-funded housing (the owner) will comply with the Jefferson County Affirmative Marketing Procedures and Requirements as stated in this document, particularly in regards to information dissemination, outreach, and record keeping.

C. Special Outreach Efforts

- 1) Jefferson County, Grantees and the PHA's will notify community organizations, employment centers, churches, fair housing groups or housing counseling agencies to inform and solicit applications from persons in the housing market area who are not likely to apply for the housing without special outreach efforts. Jefferson County will contact groups and agencies that provide services to, or have as members, persons determined as likely to apply for assistance under the Section 8 Program.
- 2) Jefferson County, Grantees and the PHA's must place advertisements in a newspaper of general circulation as well as a newspaper owned by minorities and serving the minority community. The Equal Housing Opportunity logotype and slogan must appear in all printed materials and publications. A Fair Housing Poster must be prominently posted at office locations and during meetings and seminars.
- 3) Jefferson County will be required to provide program and project data to the local senior citizen's coordination center (OSCA - Office of Senior Citizen's Activities) as well as community and minority groups.

- 4) Jefferson County will be required to provide program data to organizations and groups representing those with special housing needs such as the physically or mentally disadvantaged, the homeless and those suffering from AIDS.

D. Records

- 1) The County, Grantees and the PHA's will be required to maintain copies of all printed releases, solicitations and advertisements regarding this program, including individual projects, as well as copies of letters used in the Special Outreach Efforts.
 - a. Jefferson County will monitor compliance with all Outreach efforts and will prepare periodic reports on compliance.

E. Assessment of Efforts and Corrective Actions

- 1) Jefferson County will submit annual reports describing affirmative marketing actions taken and an assessment of the results of these actions to comply with the requirements listed above.*
- 2) Jefferson County will incorporate this language into all contracts. Failure to comply with Affirmative Marketing Requirements could result in default and demand for repayment.

*As required by HOME regulations

7. Describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction.

Response:

I. MINIMUM ACCEPTABLE OUTREACH STANDARDS

Section 281 of the HOME Investment Partnership Act and 24 CFR 92.350 requires that each participating jurisdiction prescribe procedures acceptable to HUD to establish and oversee a minority outreach program. As part of this effort, JEFFERSON COUNTY will comply with all applicable laws and regulations. Minimum HUD standards require that each outreach effort to minority and woman-owned businesses be:

- (1) A good faith comprehensive and continuing endeavor;

(2) Supported by a statement of public policy and commitment published in the print media of widest local circulation;

(3) Supported by an office and/or key, ranking staff person with oversight responsibilities and access to the chief elected official, and

(4) Designed to use all available and appropriate public and private sector local resources.

II. JEFFERSON COUNTY'S MINORITY/WOMEN BUSINESS OUTREACH PROGRAM

In its efforts to ensure the inclusion, to the maximum extent possible, of entities owned by minorities and women JEFFERSON COUNTY will:

(1) Develop a systematic method for identifying and maintaining an inventory of certified minority and women's business enterprises (MBEs and WBEs), their capabilities, services, supplies and/or products. Jefferson County currently maintains such a list and is coordinating its efforts with the Birmingham Construction Industry Authority, the Birmingham Minority Business Development Center and Henry and Associates. Through these contacts, Jefferson County is able to compile and update its files and will be able to provide technical and informational support.

(2) JEFFERSON COUNTY will use the local media, electronic and print, to market and promote contract and business opportunities for MBEs and WBEs. Any public notices or advertisements which appear in newspapers of general circulation will also appear in a minority owned newspaper serving the minority community;

(3) JEFFERSON COUNTY will develop information and documentary materials (fact sheets, program guides, procurement forecast, etc.) On contract/subcontract opportunities for MBEs and WBEs;

(4) JEFFERSON COUNTY will develop solicitation and procurement procedures that facilitate opportunities for MBEs and WBEs to participate as vendors and suppliers of goods and services. As part of these efforts, JEFFERSON COUNTY will continue its working relationship with the Birmingham Construction Industry Authority, the Birmingham Minority Development Center and Henry and Associates;

(5) JEFFERSON COUNTY will maintain centralized records with statistical data on the use and participation of MBEs and WBEs as contractors/subcontractors in all HUD-assisted program contracting activities; and

(6) JEFFERSON COUNTY will require any recipient of assistance under the HOME Program to conduct outreach efforts for individual projects.

8. If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, state its financing guidelines required under 24 CFR 92.206(b).

Response: No such use of HOME funds is anticipated.

HOMELESS

Specific Homeless Prevention Elements

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Program Year 2 Action Plan Special Needs response:

Table 1A Homeless and Special Needs Populations

Homeless Needs	Gaps Analysis				
Individuals					
Beds/Units		Estimated Need	Current Inventory	Gap	Priority
	Emergency Shelter	566	259	150	H
	Transitional Housing	466	416	50	H
	Permanent Supportive Housing	1534	504	1030	H
	Safe Haven	121	34	87	H
	Total	2687	1213	1474	
	Job Training	730	265	465	H
	Case Management	1701	753	948	H
	Child Care	0	0	0	L
	Substance Abuse Treatment	879	522	357	H
	Mental Health Care	719	288	431	H
	Housing Placement	1218	251	967	H
	Skills Training	629	411	218	L
	53% of clients report needing dental care	41% of clients report needing eye/vision care			
Homeless Needs					
Families with Children					
Beds/Units		Estimated Need	Current Inventory	Gap	Priority
	Emergency Shelter	132	87	45	M
	Transitional Housing	557	457	100	H
	Permanent Supportive Housing	973	623	350	H
	Total	1667	1167	500	
	Job Training	103	39	64	L
	Case Management	235	65	170	H
	Child Care	255	100	155	H
	Substance Abuse Treatment	140	38	102	M
	Mental Health Care	92	35	57	M

	Housing Placement	255	80	175	H
	Skills Training	80	57	23	L
Needs for households with ONLY children					
Beds/Units		Estimated Need	Current Inventory	Gap	Priority
	Emergency Shelter	55	18	37	M
	Transitional Housing	31	0	31	M
	Permanent Supportive Housing	5	0	5	H
	Total	91	18	73	

Continuum of Care Name: One Roof (Formerly MBSH)

Date of most recent point-in-time count: January 2011

Households with Dependent Children				
	Sheltered		Unsheltered	Total
	Emergency	Transitional		
Number of Households	22	124	78	224
Number of Persons (adults and children)	83	348	176	607

Households without Dependent Children				
	Sheltered		Unsheltered	Total
	Emergency	Transitional		
Number of Households	274	402	698	1374
Number of Persons (adults and unaccompanied youth)	291	402	744	1437

All Households/All Persons				
	Sheltered		Unsheltered	Total
	Emergency	Transitional		
Total Households	296	526	776	1598
Total Persons	374	750	920	2044

Subpopulations			
	Sheltered	Unsheltered	Total
Chronically Homeless	276	312	588

Severely Mentally Ill	357	473	830
Chronic Substance Abuse	728	359	1087
Veterans	262	39	301
Persons with HIV/AIDS	133	23	156
Victims of Domestic Violence	128	16	144
Unaccompanied Youth (under 18)	17	32	49

CoC Coverage Area: Cities of Birmingham, Bessemer, Hoover; Counties of Jefferson, St. Clair, Shelby

1. Sources of Funds - Homeless Assistance Programs: Jefferson County anticipates receiving \$89,937.00 Federal ESG funds. Requirements on ESG funding including unlimited operations, unlimited renovations, essential services (limited to 30% of total grant), prevention (limited to 30% of total grant), and staff salaries (limited to 10% of total grant). Jefferson County Emergency Shelter Program Administration funds are \$4,133.00 to be matched with \$4,133.00 in staff salaries. From the \$85,804 in Federal ESG funds Jefferson County proposes to fund AIDS Alabama, Aletheia House, Cooperative Downtown Ministries, and Pathways for operations and essential services. The \$85,804 is to be matched by the sub-recipient agencies from any qualifying categories such as cash; the value of fair rental value of any donated material or building; the value of any lease on a building; any salary paid to staff to carry out the program of the recipient; and the value of the time and services contributed by volunteers to carry out the program of the recipient at a current rate of \$5 per hour. Jefferson County has also submitted an application to the State of Alabama requesting \$113,300.00 in ESG funds to provide homeless prevention, operations and essential services to Bridge Ministries, Changed Lives Christian Center, and The YWCA of Central Alabama.

2. Homelessness - **Strategy for Targeting Funds to the Functional Areas Where Funds May Have the Greatest Impact**

During the Jefferson County Open Season for Program Year 2011, Jefferson County received applications from nine homeless service providers for requests of ESG funds that totaled \$500,000. Jefferson County believes in supporting programs that help homeless individuals and families become self-sufficient, including prevention and outreach; emergency, transitional, and permanent housing; and social services such as job training, health care, and child care. Jefferson County also believes in supporting agencies that meet sub-recipient timeliness standards. The agencies listed provide services for several homeless populations such as homeless men, women and children, families, persons with HIV AIDS, persons with substance abuse, and those at risk of becoming homeless.

A survey of the homeless was conducted in January 2005 through the University of Alabama at Birmingham. A preliminary account of the figures shows that there are approximately 2,914 homeless persons in Jefferson County according to Dr. Mark LaGory, Professor with the University of Alabama at Birmingham who served as survey coordinator. Also according to Dr. LaGory, 25% (729 of the estimated homeless) of those surveyed in 2004 said that unemployment was a main cause of their homelessness.

The motto for Bringing America Home: The Campaign sums the root of these problems very well.

People Need Livable Incomes.

-Wages should be high enough for people to afford housing, food, clothing, medical care, and other necessities of life.

-People who are not able to work should be able to have a sustainable income to afford housing, food, clothing, medical care, and the other necessities of life.

Homelessness and poverty are inextricably linked. Poor people are frequently unable to pay for housing, food, childcare, health care, and education. Difficult choices must be made when limited resources cover only some of these necessities. Often it is housing, which absorbs a high proportion of income, that must be given up. Being poor can mean that one is an illness, an accident, or a paycheck away from homelessness.

Service Area

Jefferson County has been designated as an Urban County by the U.S. Department of Housing and Urban Development. The Jefferson County Consortium consists of 30 municipalities as well as unincorporated Jefferson County excluding the cities of Birmingham, Bessemer, Hoover, County Line and West Jefferson. Jefferson County is located in the North Central part of the state. Jefferson County has a general population of 662,047. A survey of the homeless was conducted in January 2005 through the University of Alabama at Birmingham. As previously mentioned, a preliminary account of the figures shows that there are approximately 2,914 homeless persons in Jefferson County according to Dr. Mark LaGory, Professor with the University of Alabama at Birmingham who served as survey coordinator.

HOMELESS IN JEFFERSON COUNTY, ALABAMA
Report to Metropolitan Birmingham Services for the Homeless:
Birmingham Alabama Metropolitan Area Survey of Homeless Persons,
February 26-27, 2004

It is estimated that on a given day, there are 3,320 homeless persons in the Birmingham area. This figure is based on (a) point-in-time count of 1,773 homeless persons on February 26-27, 2004 of which 1,486 were survey respondents and 287 were children under 18 years of age who accompanied them; (b) 1,547 homeless persons living in inaccessible places, such as abandoned buildings and doubling up with friends and relatives. [Point (b) is based on projections of a 1995 survey of soup kitchens conducted by the Department of Sociology at the University of Alabama at Birmingham (UAB)].

The median age of the respondents was 42 years and the mean age was 41 years. Men comprised 66% of the survey respondents and women, 34%.

65% of respondents were African-American/Black, about 33% Caucasian/White, with the remainder comprising other race/ethnic categories. Less than 2% of respondent reported Hispanic origin.

Only 6% of respondents were currently married and 52% had never been married, with the remaining 42% divorced, separated or widowed.

Of 229 respondents (56 or 16%) who were accompanied by family members, 156 (11%) were accompanied by children under age 18 years of age. These 156 respondents reported having 287 children with them.

An additional 353 respondents (22%) reported having 568 children under age 18 who were not with them. Of these parents, 89% reported that their children resided with relatives.

Of the 1,486 survey respondents, 157 (11%) resided on the streets. Common current living situations included transitional housing apartment or facility (28%), emergency shelters (25%) and treatment facilities (19%).

The median amount of time homeless was 8 months: 25% were homeless 3 months or less; 50% were homeless 8 months or less; and 75% were homeless 24 months (2 years) or less.

64% of respondents, were homeless for the first time in the past two years.

When asked "What do you believe are the most important causes of your homelessness: (Check all that apply)," 49% reported substance abuse, followed by unemployment (25%), inadequate income (23%), and mental illness (15%). Only 3% of respondents indicated that they were homeless "by choice."

When asked "What services are you currently receiving? (Check all that apply)," common responses included food assistance (44%), case management (44%), clothing assistance (31%), transportation assistance (31%), emergency shelter (30%), transitional housing (29%), medication assistance (27%), and life-skills training (24%).

When asked "What services do you need that you are NOT currently receiving? (Check all that apply)," the most frequently reported unmet services were permanent supportive housing (23%), housing placement services (22%), and job training/employment assistance (22%).

44% of respondents classified themselves as chronic substance abusers.

24% of respondents classified themselves as having a mental illness.

11% of respondents classified themselves as having a physical disability.

12% of respondents classified themselves as having HIV/AIDS.

7% of respondents classified themselves as domestic violence victims.

70% of respondents had 12 years of education (high school) or more.

44% of respondents reported no income; only 13% of all respondents reported income of \$800 per month (\$200 per week) or more. The most commonly reported sources of income among all respondents were: SSI disability (18%), temporary jobs/day labor (15%), and permanent employment (14%).

71% of respondents were unemployed; 29% were employed in either temporary (15%) or permanent (14%) jobs. Of those with any type of employment, 30% reported income of \$800 per month (\$200 per week) or more, compared to only 7% of those unemployed.

219 (16%) reported having served in the military; 134 (61% who served) were enrolled in VA medical system.
64% of respondents had no health insurance; 15% reported having Medicaid.
Homeless men tended to be older than homeless women (a mean age of 42 years compared to 39 for women).

Women were more likely to have been married (56% to 43% for men) and were much more likely to be accompanied by children (26% to 4%).

Men were more likely to reside on the streets (14% to 6% for women).

Women tended to become homeless at earlier ages.

Women reported more causes of homelessness related to family relationships (domestic violence, divorce), while men were more likely to report substance abuse, illness, and disability as their most important causes of homelessness.

On average, women reported receiving 4.63 services, while men reported receiving an average of 3.46 services.

Men were more likely to classify themselves as substance abusers (48% to 36% for women), while women were more likely to classify themselves as victims of domestic violence (18% to 1% for men) and as having mental illness (30% to 21% for men). A comparison of homeless persons who were alone to those who were accompanied by family members reveals differences closely matching those found between men and women. This reflects the fact that family groups were typically a woman with children.

Nature and Extent of Homelessness

Sub-populations

Persons who are experiencing family violence comprise a category of those who are at very high risk of becoming homeless. The number of persons who have become homeless as a direct result of family violence has increased at such a significant rate that most service providers must differentiate between those persons who are and are not at immediate risk from a spouse. The primary needs of this category of homeless include emergency shelters, transitional housing, and day care for children.

Single room occupants—especially male occupants—are at a very high risk of becoming homeless. Practically no single room occupancy facilities are available for men. To make matters worse, many of the potential single room occupancy (SRO) facilities that now exist are being demolished or renovated for some other use. SRO's that are managed for decency, health and safety, as well as SRO's that have a full-time supervisory staff for occupant support, are needed for both men and women.

Also at high risk of becoming homeless are AIDS victims. Many AIDS victims will experience such poor health and incur enormous health care expenses which will eventually lead to the loss of their employment and residence. These persons need transitional housing in addition to medical, financial, transportation, emotional and employment counseling.

Families with children and an income of 0-30% of median are always at risk. This population is frequently forced, out of financial necessity, to live in substandard housing. The deterioration of said substandard structure can force such a family into a homeless situation. Another factor is one of simple economic reality. Families with incomes of 0-30% of median are "living on the edge" and any small disaster can lead to a situation where the family is forced out its current dwelling.

Other Special Needs

Needs of Persons Threatened with Homelessness

Those individuals and families which are currently homeless, represent only a portion of the need found in the very low-income community. Many individuals and families are in constant threat of becoming homeless. This is particularly true of those who have incomes of 30% or less of median. The first priority in any concentrated program designed to deal with the problem of homelessness, is to deal with those without housing. This is done through a variety of shelter and transitional housing programs backed with a variety of social services.

The needs of those who are in danger of becoming homeless are both different and similar to those who are currently homeless. The basic difference is shelter as opposed to lack of shelter. Individuals who fall within the 30% or less of median income are constantly in danger of losing their shelter. Even if they do not have to face the problems of drug/alcohol dependency or physical/mental abuse, their limited financial resources pose a constant danger. This danger is particularly acute for the elderly and those with physical/mental disabilities. This discussion will deal with this problem by discussing different aspects of the following subgroups:

The Elderly

Elderly persons who have median incomes of 30% or less of the area's median are particularly vulnerable. This vulnerability is partly financial and partly physical. The elderly within this group may be renters or homeowners. If they are renters without assistance of some type, they are forced to live in substandard housing. Any financial mishaps, such as health problems, can deny them the resources they need to live. The situation for homeowners is similar. In both cases, financial mishaps can deny them the basic means to live. The homeowner may not have to worry about rent, but maintenance and mortgage payments are perpetual problems. Lack of income further means lack of maintenance which can lead to a house becoming unlivable. The elderly are frequently faced with medical problems which limit their available income for housing. Such medical problems combined with financial limitations put this group in unremitting danger of becoming homeless.

Mental and Physical Disabilities

Persons who fall within this group and who have incomes of 30% or less of the area median share the same problems as the elderly. They must further contend with one or more disabilities which affect their ability to increase their income. Without family and/or other outside assistance, this group is in continual danger of becoming homeless;

AIDS

Persons who have AIDS or who have been, in some manner, infected with the HIV virus are also in danger. This danger is regardless of the income they have before they became infected. People within this group frequently find themselves without employment and insurance. They must further deal with the public's fears of the disease which places a social stigma on them. As their physical condition deteriorates, so does their ability to live in a safe, sanitary and decent housing.

Drug and Alcohol Dependency

Persons with drug and alcohol dependency problems are also at risk of becoming homeless. A substantial percentage of those who are in homeless shelters and transitional facilities are dependency victims.

Poverty

The previous items show specific groups with specific emphasis on those who have incomes of 30% or less of the area median. This final category is for the remaining populations falling within this group. This group is living on the edge of homelessness by virtue of its income. The loss of a job, or the presence of a medical emergency can begin a downward cycle toward homelessness. This group simply does not have the resources to withstand such difficulties without outside assistance.

Needs

Those who are in danger of becoming homeless have a variety of needs. Some of the needs include housing counseling, job training and assistance, day care, transportation and drug/alcohol treatment programs, all of which have to be coordinated with an overall program that addresses basic needs and provide long term solutions. There are many social service agencies which provide these services but coordination remains a problem as does the financial ability of these agencies to deliver services. The coordination and financial limitation of these services remains the greatest difficulty.

Homeless Needs

Jefferson County has found that it is faced, like many other parts of the country, with a burgeoning homeless population. The homeless providers of shelters and services for the homeless are generally found within the City of Birmingham. While this is technically outside of the jurisdiction of the Jefferson County Community Development Consortium (all of Jefferson County outside of the cities of Birmingham, Bessemer and Hoover), we cannot assume that the Consortium has no homeless population. One would think that the homeless commonly seek the urban core areas to live and that providers merely go where their clientele is located. However, according to monthly reports submitted and monitoring visits of various providers, there are many clients of essential and homeless prevention services whose last known addresses have been in Jefferson County outside of the city limits of Birmingham, Bessemer, and Hoover.

Need for Families and Services

The major "pressing needs" of homeless persons when they come to shelters are: shelter, food, clothing and medical assistance followed secondarily by employment assistance and various kinds of counseling. The most frequently offered services by shelter providers (beyond a decent roof and a meal) are social service agency referrals, medical assistance, and personal counseling.

A part of Birmingham/Jefferson County area's population is classified as the "chronic" homeless. These are the persons who are most frequently found in emergency shelters and on the streets and who lack sufficient income to purchase permanent lodgings. This group's primary needs are shelter or shelter combined with emergency food and medical services.

Another portion of Birmingham/Jefferson County area's population is homeless due to family conflict or dissolution, eviction from permanent housing, or loss of a recent job due to being fired or laid off. This group of homeless is generally younger than other homeless groups and in better health. Also, it contains the largest number of families and women. Most of the persons in this group need some type of crisis care. Transitional housing is vital to this group to provide shelter for a longer period of time. The two-week to six-month stay in transitional housing is essential to these persons to allow them access to resources to identify and recover from the causes of their homelessness. Related services such as personal, job and financial counseling are greatly needed by this group.

The Birmingham/Jefferson County area has an extremely significant need for more permanent housing for the physically and mentally handicapped. Among the homeless population, this group most often needs some kind of permanent custodial care if they are to be reasonably assured of meeting their needs for shelter, food, health care, and emotional support. This group of the homeless includes older people who have passed permanently out of the labor force, those who are physically disabled, and those often de-institutionalized individuals who have chronic severe health problems.

The need for supplemental support services in the Birmingham/Jefferson County area is tremendous. As the County attempts to move beyond provision of emergency shelter to addressing the basic needs and root causes of homelessness, the demand for services increases at an almost geometric rate. The types of services needed can be grouped into two categories:

Services to Meet Basic Needs of Homeless Individuals

Beyond the simple need for shelter, the vast majority of homeless persons have great need for assistance in a wide range of areas, all of which may be integrally related to their homelessness. These needs include nutrition, medical/dental care, counseling, substance abuse counseling and assistance, clothing, hygiene, transportation, furniture, etc.

Services to Prevent/Eliminate Homelessness

These include a wide array of counseling needs, job training and assistance, day care, transportation, etc., all of which have to be coordinated with an overall program that addresses basic needs of homeless persons and provides long-term housing solutions. In general, homeless shelter and service providers perceive the mentally ill and homeless families to be the two groups most under served by existing programs.

Survey of Providers

As noted above, Jefferson County has worked closely with both housing and service providers to determine their needs and to assist coordination of services. Below is a summary of the comments received from Metropolitan Birmingham Service for the Homeless (MSH). MBSH is the lead agency in the Jefferson County Continuum of Care. The Summary indicates the provider's concept of needs and what it will take to fill these needs.

There is an immediate need for 150-300 additional emergency shelter beds for men and an immediate need for a day shelter for men that provides locker space, voice mail, mail boxes, showers, laundry facilities, life skills programs and sleeping areas for men who are able to work nights thus needing daytime sleeping arrangements. The Old Firehouse Shelter has plans that include day shelter programs, but they need to relocate to an acceptable facility.

A \$35,000 medication fund is needed annually to cover medication costs for homeless persons. There is only one facility with the current resources to provide all prescribed medications, and that is far from sufficient. One facility takes care of the paperwork for homeless clients who are eligible to enroll in low-income prescription programs, but it takes a minimum of 6-8 weeks for these programs to begin a medication supply. There are limited medication samples available that can be given to clients, but not nearly enough. A \$35,000 fund annually would be sufficient to provide the needed medications until the low-income programs start.

There is a strong need for a "homeless court" that dispenses restorative justice rather than punitive measures. Non-criminal matters that carry fines could be relegated to a special court that dispenses community service, street cleaning, work related "punishment" so that a homeless person without monetary means to pay a fine could still clear his/her record. Many progressive areas have instituted this type of court system with good results; the community gets something it needs, the homeless person is able to work off the fines and thus doesn't add to a personal debt that may never be paid.

Agencies providing homeless prevention efforts such as rental assistance and utility assistance along with handyman and painting services need additional resources. It is estimated that our area has 30-50 thousand marginally housed persons; they could be homeless tomorrow. Prevention assistance is key to keeping them housed, and is nationally accepted to be far less expensive than re-housing a person who has lost a home.

There is an immediate need for more homeless family emergency shelter. Here too, a member agency, Interfaith Hospitality House, has plans and funding for a larger shelter, but has been unable to locate an acceptable facility.

This area is in dire need of more affordable housing for low and very low-income persons. There are many cities doing innovative building and rehabbing that could provide models for our area, but however it is provided, there is an immediate need for 1,000 units and a future need of many thousands more.

This area could benefit by instituting a structured "catch-out corner" similar to that in the City of Hoover, with the addition of shower and restroom facilities. People looking for work would benefit, those seeking workers would benefit, and perhaps there would be a decrease in the need for temporary labor agencies that are disreputable and do not pay fair wages.

Boarding homes need appropriate regulation so that they can be an acceptable referral source for persons not able to live alone. As it stands, many boarding home residents avail themselves of homeless services because their needs are not met in their "home."

Our metropolitan area needs public restrooms, not just for our homeless population, but for residents shopping and doing business as well.

Those are the concrete needs, but this Continuum also has more esoteric, "big picture" issues. There is a strong need for an expanded regional transit system. Certainly, the economic issues are obvious; every \$1 invested in mass transit generates \$2.01 in economic activity. Every \$1 billion invested in mass transit generates 34,000-42,000 new jobs. Our region needs jobs and a way to get people to the jobs.

The State of Alabama is one of the last states without a State Interagency Council on Homelessness, and one of only a handful of states without a State Housing Trust Fund. Both programs are necessary to network all mainstream agencies in preventing and solving homelessness. Each of these programs also functions almost as a public relations campaign for homelessness; the general public perceives that "homeless" is defined exclusively by the people that they see living under overpasses. These most visible of the homeless make up only about 10% of the total population of homeless persons. The public never sees the two-parent families who have lost their homes due to illness. The public does not see the single mom that fled an abusive home with her three children in tow. The average person does not realize the extent of mental illness in the homeless population, and the average person has no concept of how a person with masters and doctoral level educations could become homeless. That public relations campaign presented by the formation of a State Interagency Council on Homelessness and a State Housing Trust Fund would help change how our citizens perceive homelessness. With an informed perception they would be more cognizant of prevention efforts, more likely to contribute financially to those in need and more likely to volunteer their time and talents to help solve problems related to homelessness.

Jefferson County plans to work closely with the local Continuum of Care in planning, organizing, and researching for funds to address the needs as identified by MBSH, the lead agency of the local Continuum of Care.

3. Chronic Homelessness: Jefferson County plans to continue to work with One Roof, the lead agency for the local Continuum of Care in the planning process to end chronic homelessness. Ending this type of homelessness by the year 2012 is a federal mandate. Some say the task is too great; others point out that the 10-20 % of people who are chronically homeless use 60-80% of resources available for all homeless persons. However, if all stakeholders give consolidated effort, many of the chronically homeless will be housed and more attention will be brought to homelessness in general, thus decreasing the overall numbers of persons experiencing homelessness.

4. Homeless Prevention – Jefferson County proposes funding Bridge Ministries, Inc. in State Emergency Shelter Grant funds in the amount of \$20,000.00. Bridge Ministries, Inc. is a non-profit organization that provides homeless prevention through direct emergency assistance to individuals and families facing a temporary financial crisis. Financial assistance for homeless prevention includes past due utilities (disconnect notice) and rent or mortgage payments for people with an eviction or foreclosure notice. Related to this area, Bridge Ministries also assists with utility or security deposits for homeless individuals or families with income to move into permanent housing. In addition, Bridge Ministries provides food, clothing, and referrals to credit counselors, attorneys and employment services. Bridge Ministries works directly with local hospitals, hospice programs, and other health care providers to locate and assist families who are struggling to maintain housing because of health problems.

5. Discharge Coordination Policy – Jefferson County plans to work with the local Continuum of Care in the Discharge Coordination policies.

Publicly Funded Institution(s) or System(s) of Care in CoC Geographic Area	Initial Discussion			Protocol in Development			Formal Protocol Finalized*			Formal Protocol Implemented*		
	X	Yes	No	X	Yes	No	X	Yes	No	X	Yes	No
Foster Care	X	Yes	No	X	Yes	No	X	Yes	No	X	Yes	No
Health Care	X	Yes	No	X	Yes	No	Yes	X	No	Yes	X	No
Mental Health	X	Yes	No	X	Yes	No	X	Yes	No	X	Yes	No
Corrections	X	Yes	No	X	Yes	No	Yes	X	No	Yes	X	No
Foster Care:												
All youth in the care of the State of Alabama through the Department of Human Resources are evaluated by their case managers at high school graduation or upon aging out of the system, whichever comes first, for placement in transitional housing programs managed by Gateway, a non-McKinney-Vento funded program. These youth are not discharged into homelessness, or into McKinney-Vento funded programs.												
Health Care: N/A												
Mental Health:												

Alabama Department of Mental Health policy for all state operated facilities is that discharge planning is done with consumer involvement and input. Patients may go home to family or they may be released ONLY into certified group homes or permitted boarding homes. Clinical decisions that dictate supervised placement are followed-up with a visit to the facility to ascertain patients' adjustment to the placement. Patients are not discharged to homelessness or into any McKinney-Vento funded program.

Corrections:
N/A

Emergency Shelter Grants (ESG)

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

Program Year 2 Action Plan ESG response:

Not applicable.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.
2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

*Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

Program Year 2 Action Plan Community Development response:

1. Community Development Needs

01 Acquisition of Real Property 570.201(a)- Medium

02 Disposition 570.201(b) - Low

Public Facilities and Improvements 03 Public Facilities and Improvements (General) - High 570.201(c)

03A Senior Centers 570.201(c) - High

03B Handicapped Centers 570.201(c) - Low

03C Homeless Facilities (not operating costs) 570.201(c) - High
 03D Youth Centers 570.201(c) - Low
 03E Neighborhood Facilities 570.201(c) - High
 03F Parks, Recreational Facilities 570.201(c) - High
 03G Parking Facilities 570.201(c) - Low
 03H Solid Waste Disposal Improvements 570.201(c) - Low
 03I Flood Drain Improvements 570.201(c) - High
 03J Water/Sewer Improvements 570.201(c) - High
 03K Street Improvements 570.201(c) - High
 03L Sidewalks 570.201(c) - High
 03M Child Care Centers 570.201(c) - Low
 03N Tree Planting 570.201(c) - Low
 03O Fire Stations/Equipment 570.201(c) - High
 03P Health Facilities 570.201(c) - Low
 03Q Abused and Neglected Children Facilities 570.201(c) - Low
 03R Asbestos Removal 570.201(c) - Low
 03S Facilities for AIDS Patients (not operating costs) 570.201(c) - High
 03T Operating Costs of Homeless/AIDS Patients Programs - High
 04 Clearance and Demolition 570.201(d) - Low
 04A Clean-up of Contaminated Sites 570.201(d) - Low
 Public Services 05 Public Services (General) 570.201(e) - High
 05A Senior Services 570.201(e) - High
 05B Handicapped Services 570.201(e) - Low
 05C Legal Services 570.201(E) - Low
 05D Youth Services 570.201(e) - High
 05E Transportation Services 570.201(e) - Medium
 05F Substance Abuse Services 570.201(e) - Medium
 05G Battered and Abused Spouses 570.201(e) - High
 05H Employment Training 570.201(e) - Medium
 05I Crime Awareness 570.201(e) - Low
 05J Fair Housing Activities (if CDBG, then subject to 570.201(e) - High
 05K Tenant/Landlord Counseling 570.201(e) - Low
 05L Child Care Services 570.201(e) - High
 05M Health Services 570.201(e) - Low
 05N Abused and Neglected Children 570.201(e) - Low
 05O Mental Health Services 570.201(e) - Low
 05P Screening for Lead-Based Paint/Lead Hazards Poison 570.201(e) - Low
 05Q Subsistence Payments 570.204 - Low
 05R Homeownership Assistance (not direct) 570.204 - Medium
 05S Rental Housing Subsidies (if HOME, not part of 5% 570.204 - Low
 05T Security Deposits (if HOME, not part of 5% Admin c - Low
 06 Interim Assistance 570.201(f) - Low
 07 Urban Renewal Completion 570.201(h) - Low
 08 Relocation 570.201(i) - Low
 09 Loss of Rental Income 570.201(j) - Low
 13 Direct Homeownership Assistance 570.201(n) - High
 14A Rehab; Single-Unit Residential 570.202 - High
 14B Rehab; Multi-Unit Residential 570.202 - High
 14C Public Housing Modernization 570.202 - Low
 14D Rehab; Other Publicly-Owned Residential Buildings 570.202 - Low
 14E Rehab; Publicly or Privately-Owned Commercial/Indu 570.202 - Low
 14F Energy Efficiency Improvements 570.202 - Low
 14G Acquisition - for Rehabilitation 570.202 - Low

- 14H Rehabilitation Administration 570.202 - High
- 14I Lead-Based/Lead Hazard Test/Abate 570.202 - High
- 15 Code Enforcement 570.202(c) - Low
- 16A Residential Historic Preservation 570.202(d) - Low
- 18A ED Direct Financial Assistance to For-Profits 570.203(b) - High
- 18B ED Technical Assistance 570.203(b) - High
- 18C Micro-Enterprise Assistance - High
- 19A HOME Admin/Planning Costs of PJ (not part of 5% Ad) - High
- 19B HOME CHDO Operating Costs (not part of 5% Admin ca) - Low
- 19C CDBG Non-profit Organization Capacity Building - Low
- 19D CDBG Assistance to Institutes of Higher Education - Low
- 19E CDBG Operation and Repair of Foreclosed Property - Low
- 20 Planning 570.205 - High
- 21A General Program Administration 570.206 - High
- 21B Indirect Costs 570.206 - Low
- 21D Fair Housing Activities (subject to 20% Admin cap) 570.206 - Low
- 21E Submissions or Applications for Federal Programs 570.206 - High
- 21F HOME Rental Subsidy Payments (subject to 5% cap) - Low
- 21G HOME Security Deposits (subject to 5% cap)- Low
- 21H HOME Admin/Planning Costs of PJ (subject to 5% cap) - High
- 21I HOME CHDO Operating Expenses (subject to 5% cap) - Low

2. Anti-Crime Programs

Crime Awareness

Need Level: Low

Statement of Need: The Jefferson County Consortium is made up of smaller cities and the unincorporated areas of the County. This area does not have the higher crime rates associated with the larger urban concentrations of the central cities, and the County has received no requests for assistance with crime awareness programs. The Jefferson County Sheriff's Department and local police forces conduct adequate crime awareness programs. The Jefferson County Sheriff's Department and local police forces conduct adequate crime awareness programs for the Jefferson County Consortium at the present time. If there is a need for additional services for crime awareness in the future, the County will consider those programs

Goal: None

Objective: No Crime Awareness activities will be undertaken with 2011-2012 Grant funds.

Economic Development

Rehab, Publicly or Privately-Owned Commer.

Need Level: Moderate

Statement of Need: The older areas of the Jefferson County Consortium have, in a number of cases, outdated commercial and industrial facilities. The County has received requests for revitalization programs in the City of Leeds, Brighton, Tarrant and in a few other areas, but the primary need is for new development. Most of the older commercial and industrial developments in need of rehabilitation in Jefferson County are in the cities of Birmingham and Bessemer, who are not in the Jefferson County Consortium. Therefore, the need for commercial-industrial rehabilitation within the consortium, while it exists, is not critical.

There are a number of obstacles to commercial-industrial rehabilitation. Transportation systems are a major determining factor in the viability of commerce and industry. Older developments are no longer located on the important road corridors. In addition, older developments frequently do not have sufficient land area to meet modern commercial and industrial needs. This, along with funding limitations, serves to limit the potential for commercial/industrial rehabilitation. Goal: To provide, through loans and infrastructure programs, incentives to redevelop older commercial and industrial areas of Jefferson County. Objective: To target, on a limited basis, commercial and industrial areas in the eastern and western sections of the County, commercial and industrial rehabilitation projects, and to provide incentives for zero to four rehabilitation projects over the five-year period of the plan. 2011-2012 Activities to Address Need: None

Commercial Industrial (CI) Land Acquisition/Disposition/ CI Infrastructure Development

Need Level: Low

Statement of Need: In its Strategic Economic Development Plan and in the Comprehensive Economic Development Strategy (CEDS), Jefferson County cites a lack of infrastructure as being a major impediment to economic development. The manufacturing sector of the local economy is smaller than the national average, and it is a goal of these plans to re-industrialize the local economy. To do this, sites for development must be provided that take advantage of modern transportation systems and incorporate the necessary infrastructure for development. Areas suited to industrial development with access to the highway system and rail transit do not have the sewer, water, and local road networks necessary for development. It is the Goal of the County to develop sites as industrial parks, geographically distributed throughout the County.

The major obstacle to this effort is funding. A significant investment in infrastructure is required to leverage the private funding for development.

Goal: It is the goal of the County to develop or assist in the development of up to two industrial park sites in the next 15 to 20 years and to assist in the development of infrastructure to other commercial and industrial sites as necessary.

Objective: To provide basic infrastructure to one to two sites for industries that will create jobs for low- to moderate-income residents of the County over the five-year period of this plan.

2011-2012 Activities to Address Need: None

CI Building Acquisition, Construction, Rehab.

Need Level: Moderate

Statement of Need: In addition to the rehabilitation of existing structures, additional commercial industrial buildings must be provided if the economy of the metropolitan area is to continue to grow.

Goal: To provide financial incentives to encourage the establishment of commercial and industrial facilities in the area.

Objective: Jefferson County will provide economic development loans to qualifying businesses to acquire or construct commercial industrial facilities.

2011-2012 Activities to Address Need: None

Other Commercial/Industrial Improvements

Need Level: High

Statement of Need: With the recent changes in the metropolitan work force, the skills and skill levels of available workers often do not match the labor needs of prospective businesses and industries. As a result a real need exists for training programs to retrain established members of the labor force and to provide initial training for entry level workers.

Goal: To establish training programs to provide adequate skills to allow current and future members of the labor force to find jobs.

Objective: To establish Work Force Development programs for the metropolitan area.
2011-2012 Activities to Address Need: None

ED Direct Financial Assistance to For-Profit

Need Level: High

Statement of Need: Although larger firms typically have sufficient resources and access to capital to fund their expansion and growth needs on a long term permanent basis, there often exists a short term gap between the origination of a project and the finalization of long term permanent financing.

Jefferson County has the ability to provide short term, CD Float loans, financing at a very attractive interest rate to financially secure firms with a financial need and requirement for interim funding.

Jefferson County manages an Economic Business Loan program that provides low interest loan of up to \$350,000 to businesses for expansion. Loans are provided on a county-wide basis, with the goal of creating new jobs for low- and moderate-income residents of the County. There is a significant need for loans of this type that are frequently considered higher risk by conventional lending institutions. The chief obstacles to assisting businesses with a program of this type is limited capital for loans and a default rate that necessarily is above the conventional business loan default rate. Jefferson County will also provide micro-loans not to exceed \$25,000 to be used for working capital.

Goal: To provide a mechanism for financially sound firms with a temporary short fall in the availability of short term financing until long term, permanent financing can be put in place.

Objective: To provide up to \$1 million annually in CD Float financing to assist qualified business' expansion and creation of jobs for low and moderate income persons. To provide \$50,000 annually in mirco-loans.

2011-2012 Activities to Address Need: We anticipate serving approximately two or three business loans.

Estimated Cost to Address Need: \$1-\$2 Million.

ED Technical Assistance

Need Level: High

Statement of Need: Established businesses have little need for technical assistance or have the funds to contract for this assistance as needed. The clients targeted by the available economic development programs however, are by and large smaller firms who lack either the sophistication and financial ability to acquire the required assistance. Thus technical assistance offered through this office is aimed at empowering small business with the knowledge and abilities to increase their possibility and probability for economic survival.

Goal & Objective: To provide technical assistance, where necessary, to businesses and organizations who wish to expand or relocate within the Jefferson County Consortium area.

2011-2012 Activities to Address Need: Administrative costs associated with assistance to existing and future clients.

Estimated Cost to Address Need: \$35,000

Micro-Enterprise Assistance

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Infrastructure

Flood Drain Improvements

Need Level: High

Statement of Need: Storm water management is an on-going need in Jefferson County. The relatively high level of rainfall experienced in this area of the United States, along with its high concentration in violent storms, makes storm water management a higher concern than in many areas. Deterioration of storm water systems requires frequent maintenance, reconstruction and new development tends to increase the intensity of runoff, making systems that previously were adequate, inadequate.

Goal: Continue to develop and improve storm water systems in areas that affect low- and moderate-income citizens of Jefferson County on an as needed basis, while coordinating activities with the County's Roads & Transportation Department.

Objective: Over the five year period of the Consolidated Plan, the County expects to assist in drainage improvements throughout Jefferson County, Alabama.

Total Estimated Cost to Address: \$5,000,000

2011-2012 Activities to Address Need: Needs will be addressed as applications are received. There are no plans to address drainage issues in the 2011 One-Year Action Plan.

Water/Sewer Improvements

Need Level: High

Statement of Need: Much of the population of Jefferson County is served by large water systems, however, most of that population is in larger cities. There are large portions of the County, particularly the western area of the County, that are not served by municipal water systems. Families in these areas, including a high proportion of low- and moderate-income families, depend upon wells or small rural water systems for a water source. Wells in many areas have been failing in recent years and are increasingly susceptible to contamination. The primary obstacle to the provision of treated water is the lack of water systems with the capacity to serve certain rural areas and the extreme cost per unit of providing water in areas where houses are not close to one another.

Goal: Provide water to those with health risks.

Objective: To provide safe drinking water to all areas of Jefferson County with bad well water, failing wells, or failing water systems.

2011-2012 Activities to Address Need: West Highland and Tarrant Water projects.

Estimated Cost to Address Need: \$233,380.66

Street Improvements

Need Level: High

Statement of Need: In public hearings there were requests for the improvement of streets, particularly in terms of safety and in terms of vehicle conflict with pedestrians. Many rural areas of the County are being subjected to increased traffic as the County continues to develop, and many longstanding vehicle safety problems, particularly in low- and moderate-income areas of the County, have not been addressed. Conflicts where there are schools, parks, or public facilities increase where there is a higher concentration of lower income residents, as there is frequently a greater number of pedestrians seeking to use these facilities.

The primary obstacle to meeting the needs for street improvements is a lack of funding and the difficulty in assigning benefit for this type of project.

Goal: To provide street improvements in areas of Jefferson County that are low to moderate income. To work with the Jefferson County Department of Roads & Transportation to coordinate other needs.

Objective: To have better, safer streets in Jefferson County, Alabama.

2011-2012 Activities to Address Need: None

Sidewalks

Need Level: High

Statement of Need: In public hearings there were requests for the improvement of streets and the construction of sidewalks, particularly to resolve vehicle conflicts with pedestrians. Conflicts where there are schools, parks or public facilities increase where there is a higher concentration of lower income residents, as there is frequently a greater number of pedestrians seeking to use these facilities.

The chief obstacle to meeting the need for sidewalk improvements in low- and moderate-income areas of the County is a lack of funding.

Goal: To provide sidewalks in low- and moderate-income areas, particularly where senior citizens must walk to the store, Post Office, or where there will be high concentrations of children using facilities such as schools, parks and libraries.

Objective: Jefferson County expects to address sidewalk improvements throughout the County.

2011-2012 Activities to Address Need: None

Tree Planting

Need Level: Low

Statement of Need: At this point, all request for trees have been for beautification purposes.

Goal: None

Objective: None.

2011-2012 Activities to Address Need: None.

Removal of Architectural Barriers

Need Level: High

Statement of Need: Since the passage of the Americans with Disabilities Act there has been progress in making buildings, homes and recreational facilities accessible to the handicapped, but there are still many public and private buildings and public facilities that have not yet been made accessible. There are also a significant number of citizens who are handicapped who live in housing that is not designed to be fully accessible.

Goal: To provide opportunities for handicapped individuals to live independently and to assist them so that they can take advantage of employment opportunities.

Objective: Jefferson County would like to see all municipal facilities made accessible. During the next five years, a special emphasis will be made to insure that municipal buildings in the western area of the county are handicap accessible.

2011-2012 Activities to Address Need: None.

Privately Owned Utilities

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Planning & Administration

Need Level: High

Statement of Need: Jefferson County is involved in an on-going planning process to access the need for development and/or improvements of community facilities, infrastructure, housing opportunities, and homelessness. These issues are explored as a part of the administration of the various grant programs. Also, the program activities and projects are monitored to ensure compliance.

Goal: It is the goal of Jefferson County to adequately plan and administer the activities associated with the various grant programs.

Objective: Jefferson County will administer programs for the benefit of low/low-moderate income persons and homeless persons.

Total Estimated Cost to Address: \$373,157 in General Administration, \$160,000 in Housing Program Delivery, \$3,000 in Economic Development Technical Assistance, and \$10,540 in Emergency Shelter/Solutions Grant Administration.

Public Facilities

Senior Centers

Need Level: High

Statement of Need: The construction of new or renovation of existing senior citizens' centers. As the baby boomer population ages there is a greater need to provide senior services through facilities designed specifically for senior citizens.

Goal: To provide public facilities specifically for seniors.

Objective: To construction or renovate public facilities that can be used to benefit low to moderate income persons who meet HUD's definition of the elderly.

2011-2012 Activities to Address Need: Trussville Senior Citizens' Center Improvements, \$200,000; and Vestavia Hills Senior Citizens' Center Improvements, \$10,000

Total Estimated Cost to Address: \$210,000

Public Facilities and Improvements

Need Level: High

Statement of Need: Improvements to public facilities.

Goal: To assist consortium members with the upgrading of their public facilities.

Objective: To construction or renovate public facilities that can be used to benefit low to moderate income persons.

2011-2012 Activities to Address Need: Fairfield Library

Total Estimated Cost to Address: \$200,000

Handicapped Centers

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Neighborhood Facilities

Need Level: High

Statement of Need: There is a continuing need for the development of multi-purpose Community Centers to serve the needs of small unincorporated neighborhoods as well as senior citizens' center to service the needs of Jefferson County's senior citizens. The Jefferson County Consortium is largely sparsely populated and there is little need for dedicated community facilities, such as youth centers, which would serve a large urban population concentration. However, the many communities of the County with smaller populations have a continuing need for Community Centers in the neighborhoods to provide gathering places, to sponsor youth activities, and to meet the needs of seniors. Many communities have no central focus and a community center would provide for this need.

Goal: To renovate or construct community centers in Jefferson County, Alabama.

Objective: Address center needs as they arise.

2011-2012 Activities to Address Need: None.

Parks, Recreational Facilities

Need Level: High

Statement of Need: There is an on-going need for parks and recreation facilities throughout the County. Many of the recreation needs of neighborhoods in the County are met by community groups who provide for programs, maintenance, and supervision of recreation programs and park facilities. These groups require assistance in the development of parks, as this is frequently beyond the means of low- and moderate-income neighborhoods. Comments at public hearings are frequently addressing the need for neighborhood based community recreation facilities.

A major obstacle to the development of park and recreation facilities is the lack of funding for a county-wide entity to manage parks and to run recreating programs. County parks depend upon the communities they serve to provide the volunteers necessary to run recreation programs and to perform park maintenance. The acquisition of available land is often difficult to impossible due to the fact that the majority of unimproved land is owned by major corporations.

Goal: The development of new neighborhood parks and the upgrading of parks in neighborhoods throughout the County.

Objective: Jefferson County will address other park needs as they arise.

2011-2012 Activities to Address Need: None.

Parking Facilities

Need Level: NSN

Statement of Need: There is no identified need for dedicated parking facilities, except where those facilities are incidental to other types of project development. The Jefferson County Consortium is an urban county, and the need for independent parking facilities is greater in more heavily urbanized central cities.

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Solid Waste Disposal Improvements

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Fire Stations/Equipment

Need Level: High

Statement of Need: Fire prevention and protection services in Jefferson County are met by individual municipalities or designated fire districts. Many of them are administered and operated by volunteers. Fire departments receive funding from private, local, state, and federal sources. The County has received requests to assist with the renovations and expansions of fire stations. At present there appears to be a need to assist Fire Stations and the County will consider funding the requests.

Goal: Provide funding for fire stations in the low- to moderate-income areas throughout Jefferson County.

Objective: Construct and/or rehabilitate one fire station in Jefferson County.

2011-2012 Activities to Address Need: None

Health Facilities

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Asbestos Removal

Need Level: NSN

Statement of Need: NSN

Goal: The County will address this problem on an individual basis.
Objective: Same as above.
2011-2012 Activities to Address Need: None

Clean-up of Contaminated Sites
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Interim Assistance
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Non-Residential Historic Preservation
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Public Services

Public Services (General)
Need Level: High
Statement of Need: Jefferson County has concern for the needs of its citizens. The amount of funding and expertise to address the population of Jefferson County cannot be addressed by this single entity. Additional entities are required to address the public needs such as education, employment, crime prevention, child care, health, drug abuse, and fair housing counseling.
Goal: Jefferson County plans to allocate up to fifteen percent of its CDBG funding, annually for public services.
Objective: Same as above.
2011-2012 Activities to Address Need: Fair Housing Counseling
Estimated Cost to Address: \$25,000.

Handicapped Services
Need Level: Low
Statement of Need: There is a great need to assist the handicapped population of Jefferson County with services that enable them to live independently and to move into the mainstream of society. The Vocational Resources Center is a service agency providing training and job referrals for handicapped persons. The County has funded this organization in the past.
Goal: The primary obstacle in meeting the needs of the handicapped population of Jefferson County is a lack of funding.
Objective: Review each funding request.
2011-2012 Activities to Address Need: None.
Total Estimated Cost To Address: None.

Legal Services

Need Level: Low

Statement of Need: There is a need throughout Jefferson County for quality legal services to be made available to indigent residents. It is unfortunate that such services are not sought until the crisis point has been reached and possibly could have been rectified easily at an earlier juncture. In the past, it was only indigent individuals in the penal system who were afforded legal assistance.

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Transportation Services

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Substance Abuse Services

Need Level: High

Statement of Need: Jefferson County has received requests to assist with substance abuse services under the Emergency Shelter Grant Program. See Emergency Shelter Grant Section.

Goal: See ESG Section

Objective: See ESG Section

Employment Training

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2011-2012 Activities to Address Need: None

Health Services

Need Level: Low

Statement of Need: Health Service needs in Jefferson County are met by the Jefferson County Health Department and its clinics, the Cooper Green Hospital operated by Jefferson County, and a number of private agencies, hospitals, and non-profit organizations. These are funded by a number of government and private sources and are generally adequate for meeting the health care needs of the low- and moderate-income citizens of the County.

Goal: None

Objective: When a demonstrated need for the County to provide funding for health services arises, the County will consider funding in this area

2011-2012 Activities to Address Need: None

Mental Health Service

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None
2011-2012 Activities to Address Need: None

Screening for Lead-Based Paint/Lead Hazard
Need Level: Low
Statement of Need: These activities are covered under Housing Programs.
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Senior Programs

Senior Centers
Need Level: High
Statement of Need: The current Senior program Jefferson County has currently reached its capacity for senior programs with the funding available. The primary obstacle to meeting the need for Senior Facilities is a lack of permanent funding for the programs of the Centers.
Goal: To construct or renovate senior citizens' centers as the needs arise.
Objective: Renovation of existing Senior Facilities, including bringing Centers into compliance with ADA and continued investigation of requests. The construction of needed senior centers.
2011-2012 Activities to Address Need: None

Senior Services
Need Level: High
Statement of Need: In areas not having senior center facilities, the need for senior programs is essential and transportation to those facilities.
Goal: Provide funding for senior programs.
Objective: Study the growing populations in all areas of the County.
2011-2012 Activities to Address Need: None.

Youth Programs

Jefferson County is an Urban County CDBG Entitlement entity and there is little need for youth centers in Jefferson County per se. This need is taken care of in multi-purpose centers such as Community Centers in the incorporated areas of the County. Jefferson County is an urban county whose consortium consists of 30 municipalities. Each municipality has facilities and programs specifically for their youths to participate in. Since the major urban cities of Birmingham, Bessemer, and Hoover are not included in the Jefferson County Consortium, there are few population concentrations that would justify the construction of single-purpose youth centers.

Youth Centers
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Child Care Centers
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Abused and Neglected Children Facilities
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Youth Services
Need Level: High
Statement of Need: Low- and moderate-income families with working parents frequently do not have the resources to provide youth services for their children; these children need access to adequate and structured youth programs.
Goal: Jefferson County will continue to support existing programs that provide services for the development and protection of its young people.
Objective: To fund youth programs in a low- to moderate- income area within the Jefferson County Consortium.
2011-2012 Activities to Address Need: None

Child Care Services
Need Level: High
Statement of Need: There is a continuing need for services to youth in the County. Low- and moderate-income families with working parents frequently do not have the resources to provide child care and after school care for their children. The children in these families need access to adequate supervision and structured programs.
Goal: The County is engaged in ongoing efforts to assist with the provision of these services when funding permits.
Objective: To fund these programs as the need arises.
2011-2012 Activities to Address Need: None.

Abused and Neglected Children
Need Level: NSN
Statement of Need: NSN
Goal: None
Objective: None
2011-2012 Activities to Address Need: None

Other

Clearance
Need Level: Low
Statement of Need: Jefferson County, Alabama provides many basic services to the members of its Community Development Consortium. One of the greatest problems is the lack of the absentee landlord to take responsibility for its slum owned structures and over grown real estate.
Goal: None

Objective: None

Urban Renewal Completion

Need Level: NSN

Statement of Need: NSN

Goal: NSN

Objective: NSN

Total Estimated Cost to Address: \$0

CDBG Non-Profit Organization Capacity Building

Need Level: Low

Statement of Need: Jefferson County, Alabama provides funding for many services, programs and activities, to the members of its Community Development Consortium. Some consortium members are municipalities and others are unincorporated; those receiving funding are called "sub-recipients." Jefferson County (recipient) is responsible for ensuring that CDBG funds are used by the sub-recipients in a manner consistent with the CDBG requirements and other applicable Federal, State or local law. It has been demonstrated in the past that for unincorporated sub-recipients, the best way for Jefferson County to protect itself from liability is to affiliate solely with non-profit corporations.

Goal: Jefferson County will continue to provide technical assistance to any entity that is pursuing a non-profit corporation status. Over the next five years, Jefferson County will host workshops and disseminate information pertaining to the status of a non-profit corporation.

Objective: During the 2005-2009 Program Years, Jefferson County will continue to offer technical assistance on a case by case basis.

2011-2012 Activities to Address Need: None

CDBG Assistance to Institutes of Higher Education

Need Level: Low

Statement of Need: The County provides technical assistance to four and two year colleges located in Jefferson County. As new initiatives surface in the general areas of Community Development and workforce training the various schools are encouraged and provided assistance in applying for participation.

Goal: Jefferson County has helped Jefferson State Community College and Bessemer State Technical College become involved in the Workforce Investment Program.

Jefferson County has also helped the University of Alabama at Birmingham to apply for HUD's Healthy Homes Program. Jefferson County intends to provide this type of assistance as needed.

Objective: Two of the two year colleges located in Jefferson County will become one stop centers in the U.S. Dept. of Labor's Workforce Investment Program. Jefferson State Community College becomes a CDBG Training Grant of \$100,000 for workforce training.

2011-2012 Activities to Address Need: Activities will be assigned as the need addresses.

Repayments of Section 108 Loan Principal

Need Level: Low

Statement of Need: Jefferson County has several business assistance programs available to assist in financing small businesses with marginal credit worthiness. However, no program is available to assist larger businesses with marginal credit or little or no track record.

Goal: The Jefferson County Commission has authorized the utilization of the HUD Section 108 Loan Guarantee Program to entice businesses to locate or expand in Jefferson County.

Objective: Make approximately \$3,000,000 of Section 108 Loans to credit worthy clients and establish a repayment program that keeps exposure to the annual CDBG allocation to a minimum.

2011-2012 Activities to Address Need: None

Unprogrammed Funds

Need Level: Low

Statement of Need: Sometimes there is a need to have contingency funds if an approved project exceeds its budget or if an urgent need arises.

Goal: Our goal is to budget all available funds. However, if additional funds become available, it may be necessary to budget them as unprogrammed funds until a need is established.

Objective: Jefferson County's objective for unprogrammed funds is to have funds available for approved projects that exceed budget amount.

Total Estimated Cost: \$0

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 2 Action Plan Antipoverty Strategy response:

See Five Year Plan Strategy for greater detail. No activities are planned in the 2011 Program Year.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 (c) and (e))

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 2 Action Plan Specific Objectives response:

1. Priority #1. Jefferson County proposes to build/rehabilitate 4 units of Special Needs Rental Housing for the Elderly/Frail Elderly. (See HOME Program)
Priority #2. Housing Accessibility Program (see Specific Housing Objectives).
2. Jefferson County will use HOME funds for 4 units of Special Needs Rental Housing for the Elderly/Frail Elderly and CDBG funds for the Housing Accessibility Program. Jefferson County will consider applying for additional funds as they become available.
3. Jefferson County does not propose any activities for other population categories, not listed above under the heading of "Non-Homeless Special Needs" during the 2011 Program Year.

Housing Opportunities for People with AIDS

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.
4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.

9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Program Year 2 Action Plan HOPWA response:

Jefferson County is not an entitlement under the HOPWA Program.

HOPWA 91.220(1)(3)

1. One year goals for the number of households to be provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family, tenant-based rental assistance, units provided in housing facilities that are being developed, leased, or operated.

Response: Jefferson County is not a HOPWA entitlement.

Other Narrative

Include any Action Plan information that was not covered by a narrative in any other section.